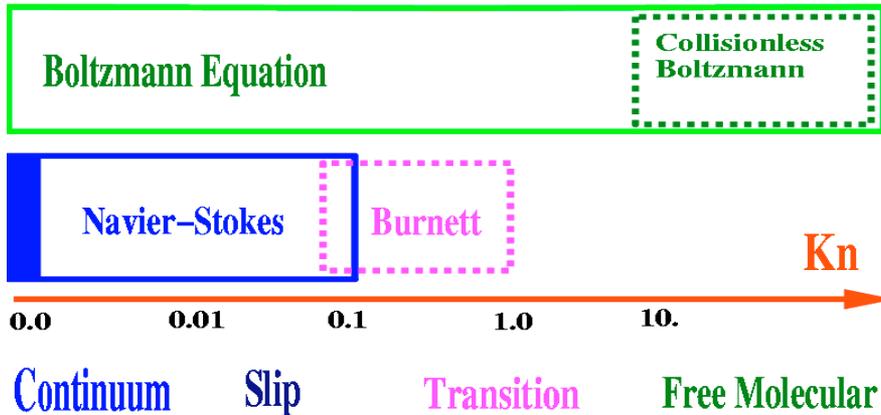


Micro-Scale Gas Transport Modeling

FLOW REGIMES



- Continuum & Slip Flow Regimes:

Navier-Stokes Equations
Slip Boundary Conditions

$$U_g - U_w = \frac{(2 - \sigma)}{\sigma} Kn \frac{\partial U}{\partial n}$$

- Slip, Transitional & Free Molecular:
Direct Simulation Monte Carlo - **DSMC**

$$Kn = \frac{\lambda}{L} \quad \text{Local Knudsen Number}$$

$$f = f_0 (1 + \underline{aKn} + \underline{bKn^2} + \dots)$$

- *Chapman-Enskog expansion*

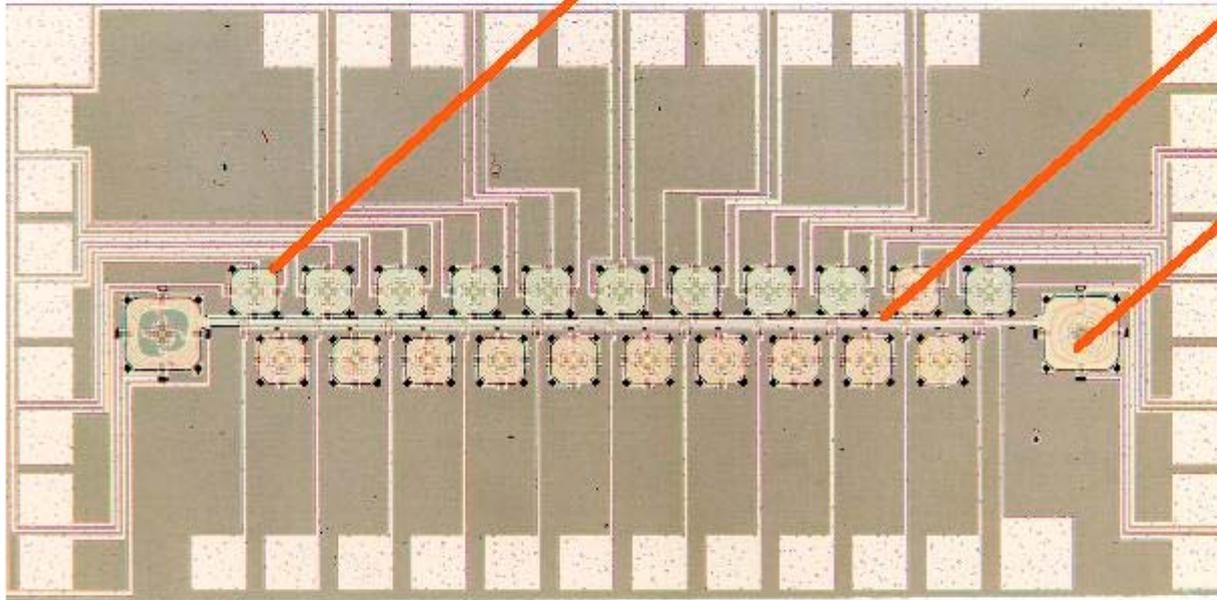
Gas flow through micro channel

C.-M. Ho & Y.-C. Tai

Pressure Sensor

Micro-channel

Inlet/Outlet



- *Pressure distribution is very insensitive to accommodation constant*
 - *remove the uncertainty of the matching constant*
- *Applying Grad's 13-moment equation (1949)*
 - *establishing constant temperature along the channel*
 - *wall slip at $Kn = 0.15$*

Compressible Navier-Stokes Equations

$$\frac{\partial}{\partial t} \begin{pmatrix} \rho \\ \rho u_1 \\ \rho u_2 \end{pmatrix} + \frac{\partial}{\partial x_1} \begin{pmatrix} \rho u_1 \\ \rho u_1^2 + p + \sigma_{11} \\ \rho u_1 u_2 + \sigma_{12} \\ (E + p + \sigma_{11})u_1 + \sigma_{12}u_2 + q_1 \end{pmatrix} + \frac{\partial}{\partial x_2} \begin{pmatrix} \rho u_2 \\ \rho u_1 u_2 + \sigma_{21} \\ \rho u_2^2 + p + \sigma_{22} \\ (E + p + \sigma_{22})u_2 + \sigma_{21}u_1 + q_2 \end{pmatrix}$$

- Valid for continuum **and** rarefied
- Newtonian fluid
- Thermal stresses (derived from Boltzmann) **not** included

$$\frac{\partial^2 T}{\partial x_i \partial x_j} - \frac{1}{3} \frac{\partial^2 T}{\partial x_k^2} \delta_{ij}$$

- Also the following term is **not** included in the energy equation:

$$\frac{\partial^2 u_i}{\partial x_j^2}$$

Compressible Microflows: First-Order Models

Stress Tensor:

$$\sigma_{ij} = -\mu \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) + \mu \frac{2}{3} \frac{\partial u_m}{\partial x_m} \delta_{ij} - \zeta \frac{\partial u_m}{\partial x_m} \delta_{ij}$$

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} Kn \frac{\partial U_s}{\partial n} + \frac{3}{2\pi} \frac{\gamma - 1}{\gamma} \frac{Kn^2 Re}{Ec} \frac{\partial T}{\partial s}$$

Boundary Conditions:

$$T_s - T_w = \frac{2 - \sigma_T}{\sigma_T} \frac{2\gamma}{\gamma + 1} \frac{Kn}{Pr} \frac{\partial T}{\partial n}$$

Non-dimensional Numbers:

$$M = \frac{u}{\sqrt{\gamma RT_0}} \quad \text{Mach number}$$

$$Re = \frac{\rho u h}{\mu}$$

Reynolds

$$Ec = \frac{u^2}{C_p \Delta T} = (\gamma - 1) \frac{T_0}{\Delta T} M^2$$

Eckert

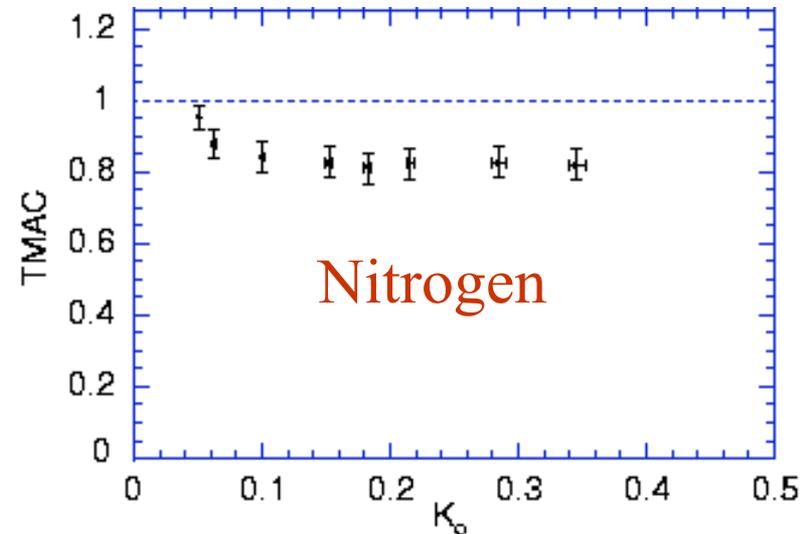
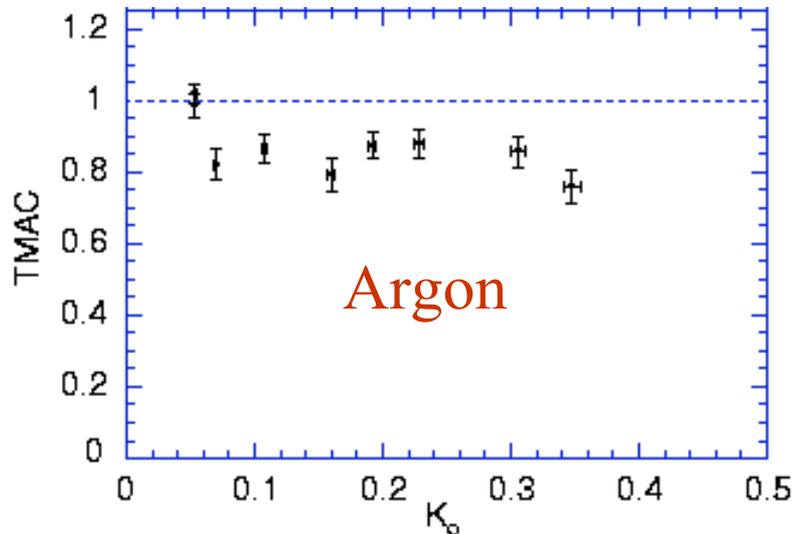
$$Kn = \frac{\lambda}{h} = \sqrt{\frac{\pi\gamma}{2}} \frac{M}{Re}$$

Knudsen

• Independent parameters: **Re, Pr and Kn**

Accommodation Coefficients

•(Breuer *et al.*)



□ $\sigma_v=0$ corresponds to *specular reflection*

□ $\sigma_v=1$ corresponds to *diffuse reflection*

$$\sigma_v = \frac{\tau_i - \tau_r}{\tau_i - \tau_w}$$

References: *Seidl & Steible (1974); Lord (1976)*

Compressible Microflows: High-Order Models

Stress Tensor:

$$\sigma_{ij} = -2\mu \frac{\bar{\partial}u_i}{\partial x_j} + \frac{\mu^2}{p} \left[\omega_1 \frac{\partial u_k}{\partial x_k} \frac{\bar{\partial}u_i}{\partial x_j} + \omega_2 \left(\frac{D}{Dt} \frac{\bar{\partial}u_i}{\partial x_j} - 2 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right) + \omega_3 R \frac{\partial^2 T}{\partial x_i \partial x_j} \right]$$

Burnett Stress

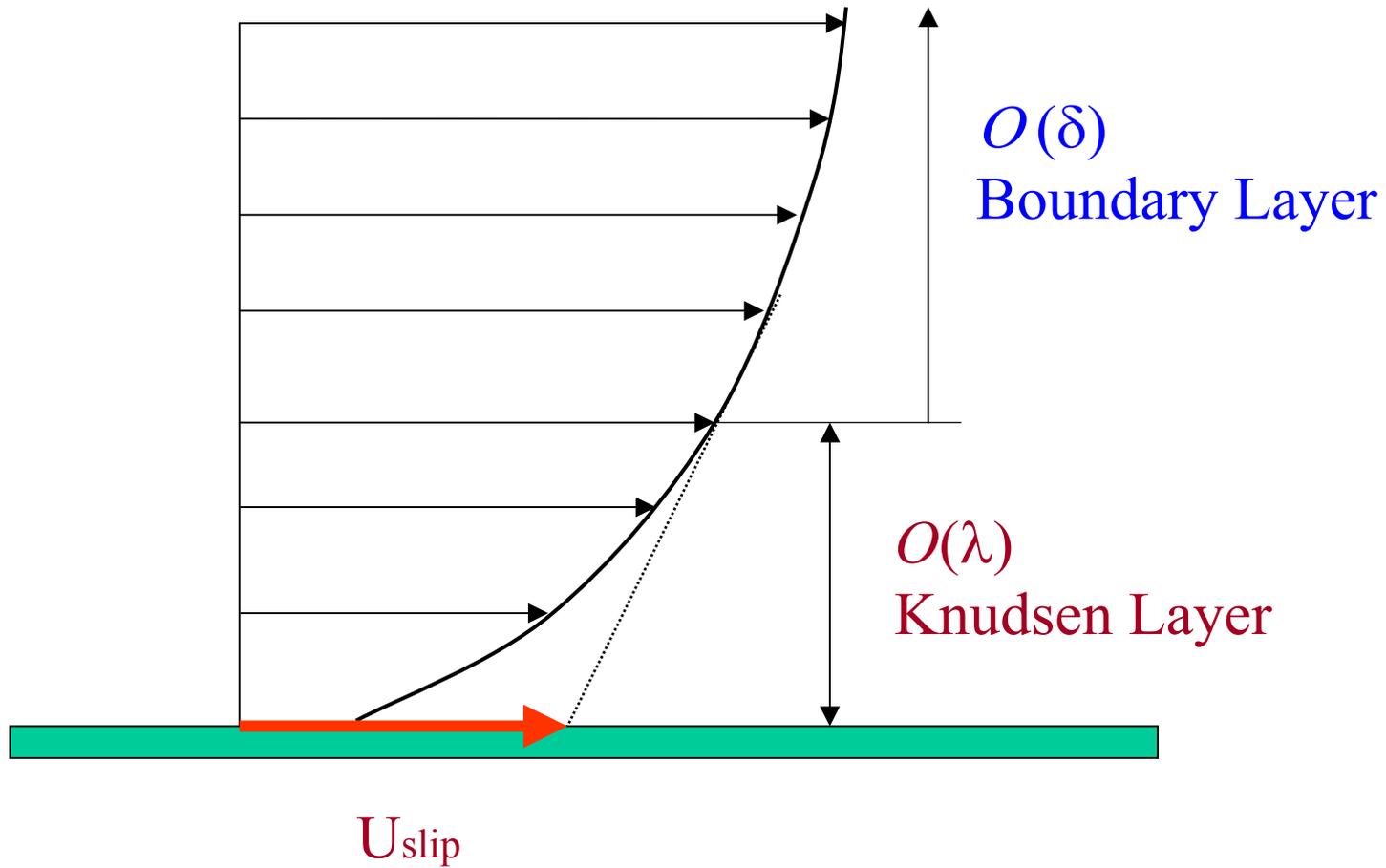
$$+ \frac{\mu^2}{p} \left[\omega_4 \frac{1}{\rho T} \frac{\partial p}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_5 \frac{R}{T} \frac{\partial T}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_6 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right]$$

Where bar defines a non-divergent symmetric tensor:

$$\bar{f}_{ij} = (f_{ij} + f_{ji}) / 2 - \delta_{ij} f_{mm} / 3$$

Boundary Conditions: *The Burnett equations are a second-order Chapman-Enskog expansion for Kn and they require second-order slip conditions. (sections 2.3, 4.4 and 5.1 in K & B (2002)).*

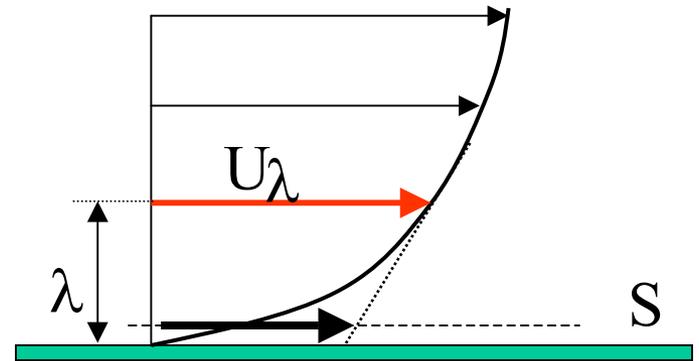
Why Slip ?



Slip Boundary Conditions

$$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} Kn \frac{\partial U}{\partial n} + \frac{3(\gamma-1)}{2\pi} Kn^2 \text{Re} \frac{\partial T}{\partial s} \quad \boxed{\text{Maxwell 1879}}$$

$$\rightarrow U_g = \frac{1}{2} [U_\lambda + (1-\sigma)U_\lambda + \sigma U_w]$$



$$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} \left\{ Kn \frac{\partial U}{\partial n} + \frac{Kn^2}{2} \frac{\partial^2 U}{\partial n^2} + \dots \right\}$$

$U_{\text{slip}} = U_{\text{gas on S}}$

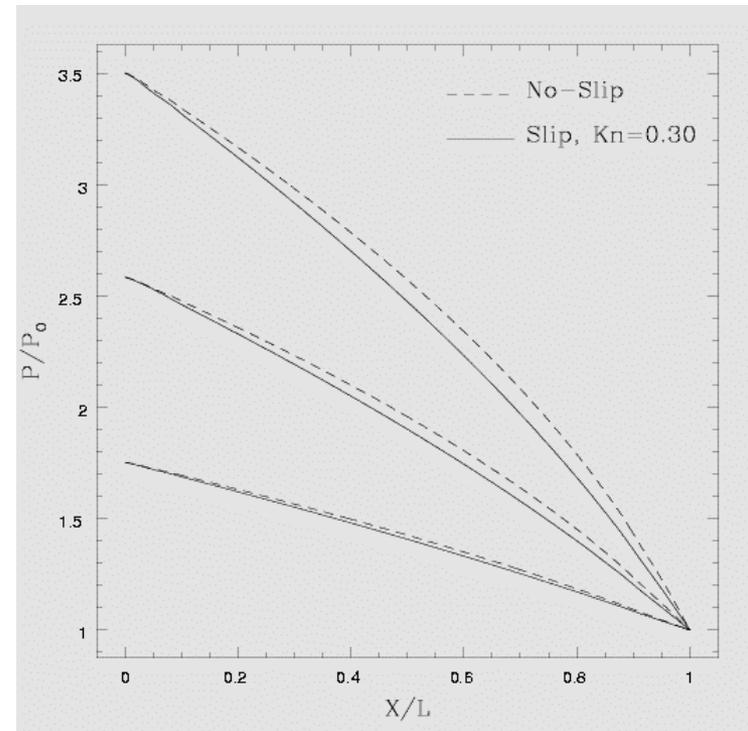
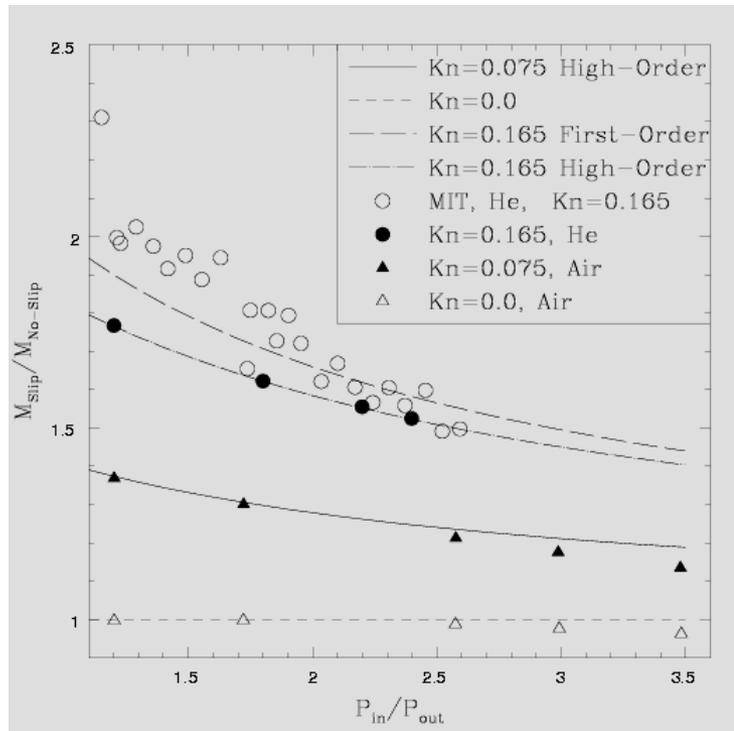
$$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} \left(\frac{Kn}{1-bKn} \right) \frac{\partial U}{\partial n}$$

$$b = \frac{U_o''}{2U_o'|_s}$$

Slip Flow Regime ($Kn < 0.1$)

- **Compressibility**
- **Rarefaction**
- **Viscous Heating**
- **Thermal Creep**

Compressibility versus Rarefaction

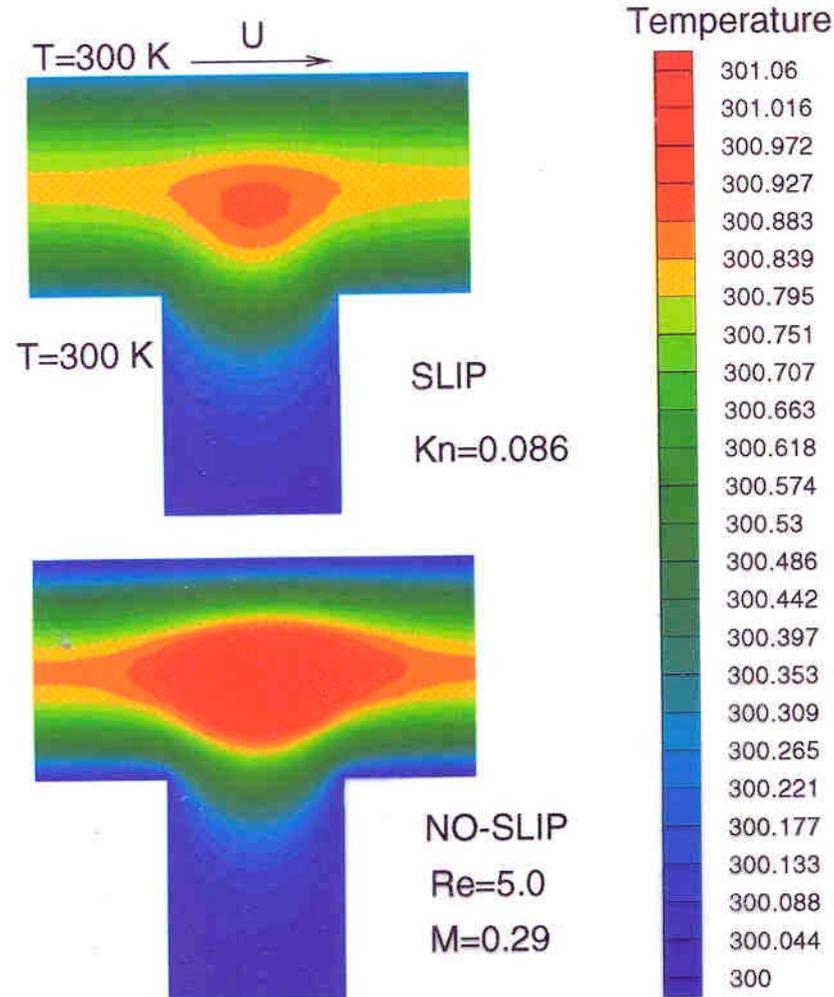


Increase in the mass flowrate compared to the No-Slip Flow

$$\frac{\dot{M}_{slip}}{\dot{M}_{no-slip}} = 1 + 12 \left(\frac{2 - \sigma}{\sigma} \right) \frac{Kn_{out}}{\Pi + 1}, \quad \Pi = \frac{P_{in}}{P_{out}}$$

Decrease in the pressure gradient compared to the No-Slip flow

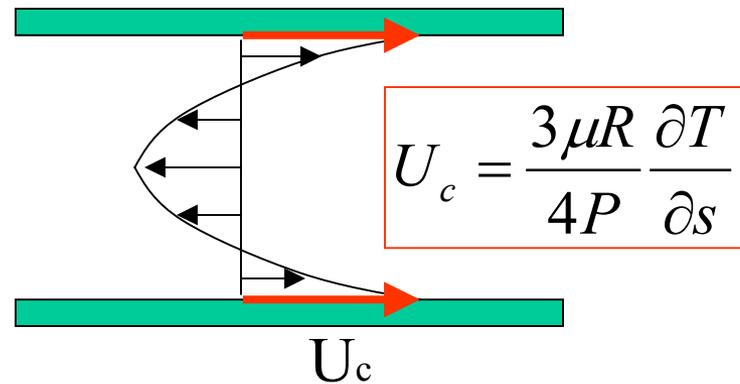
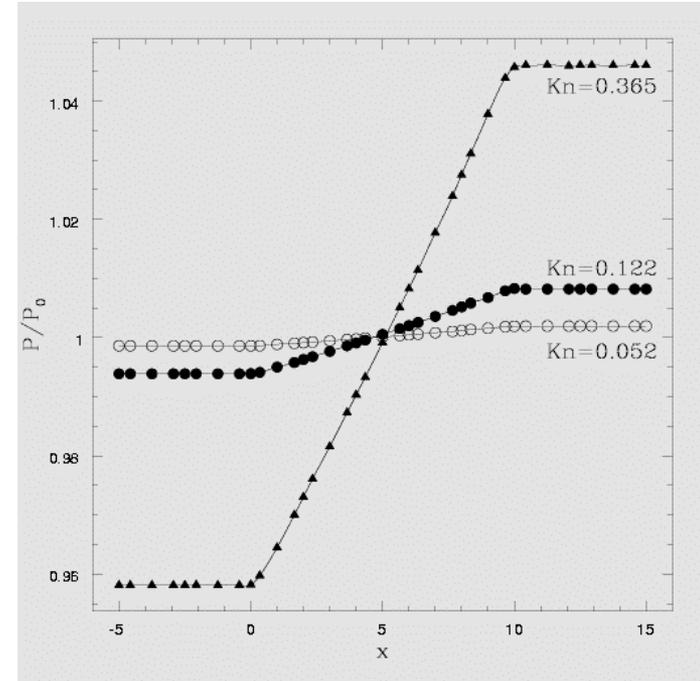
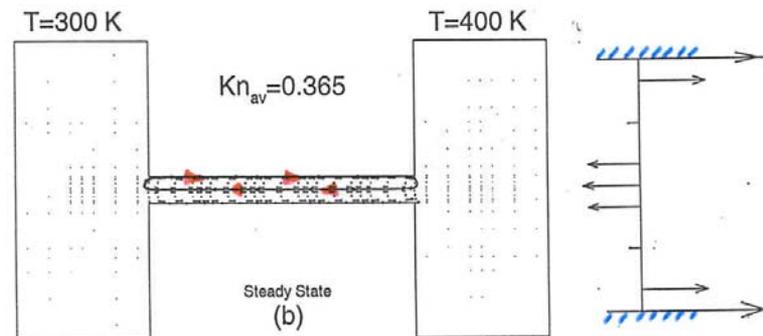
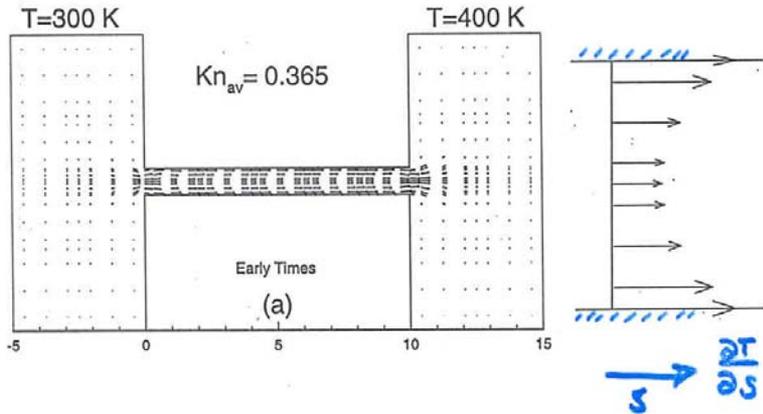
Viscous Heating



$$\Delta T = 1\text{ K}$$

$$\frac{\partial T}{\partial n} \approx 10^6\text{ (K/m)}$$

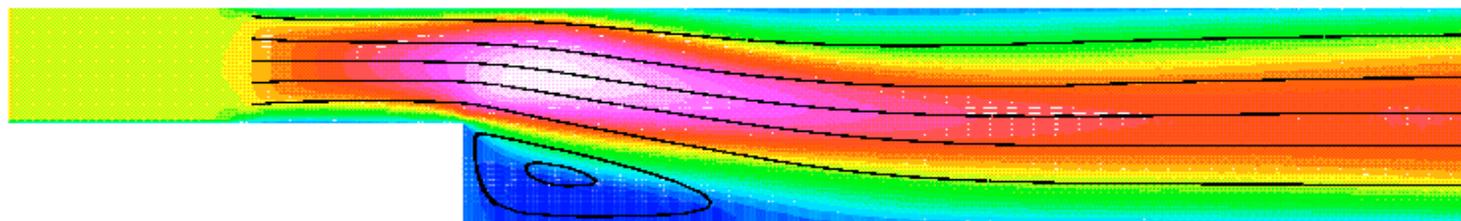
Thermally Induced Flows: Thermal Creep



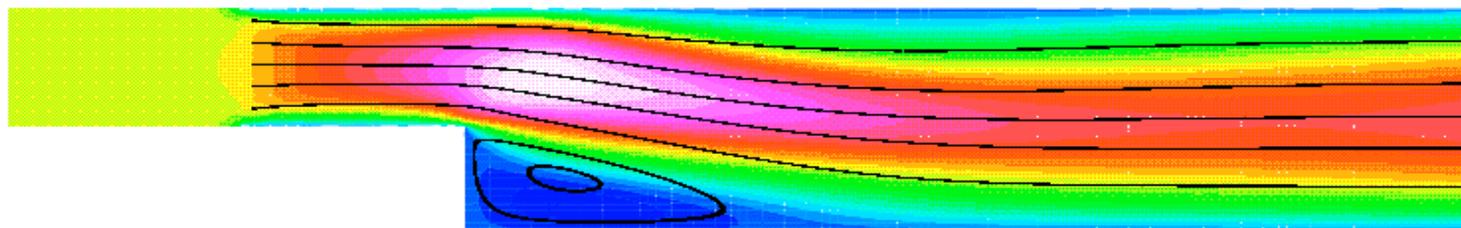
Comparisons of Molecular versus Continuum Solutions (Velocity Contours)

Re=80, Kn₀=0.05, M_i=0.55, Pr=0.7

DSMC



SEM



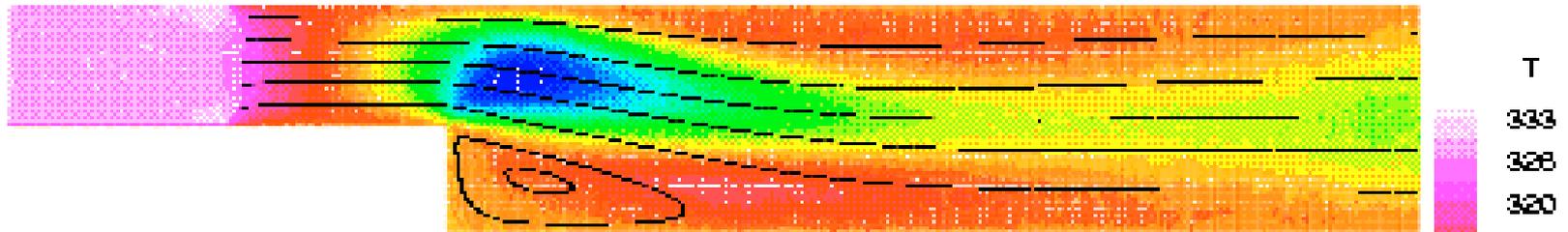
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265
229
193
157
121
85
49
13
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Comparisons of Molecular versus Continuum Solutions

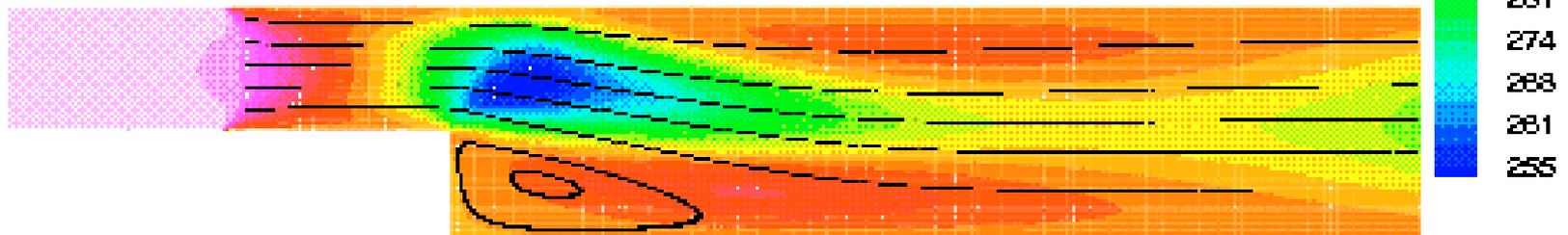
Temperature Contours

Re=80, Kn₀=0.05, M_∞=0.55, Pr=0.7

DSMC



SEM

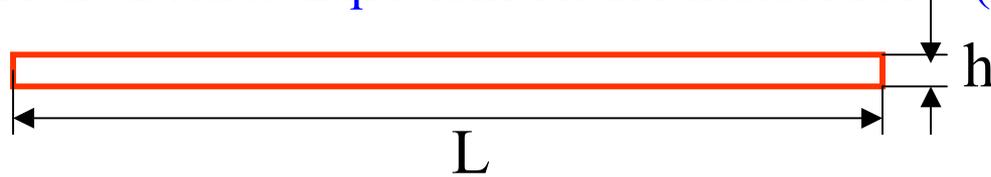


T
333
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313
307
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287
281
274
268
261
255

Transitional & Freemolecular Flow Regimes

(Kn > 0.1)

Analysis of the Burnett Equations for Isothermal Flow ($\epsilon = h/L \ll 1$)



$$P_x \left[1 - \frac{2\pi\gamma}{3} Kn_{out}^2 M_{out}^2 \left(\frac{P_{out}}{P} \right)^2 U_y^2 \right] = U_{yy} + O(\epsilon)$$

$$P_y \left[1 - \frac{\pi\gamma}{3} Kn_{out}^2 M_{out}^2 \left(\frac{P_{out}}{P} \right)^2 U_y^2 \right] = \frac{4\sqrt{\pi\gamma/2}}{3} Kn_{out} M_{out} \left(\frac{P_{out}}{P} \right) U_y U_{yy} + O(\epsilon)$$

If $Kn_{out} \leq 1$ & $M_{out} \ll 1$

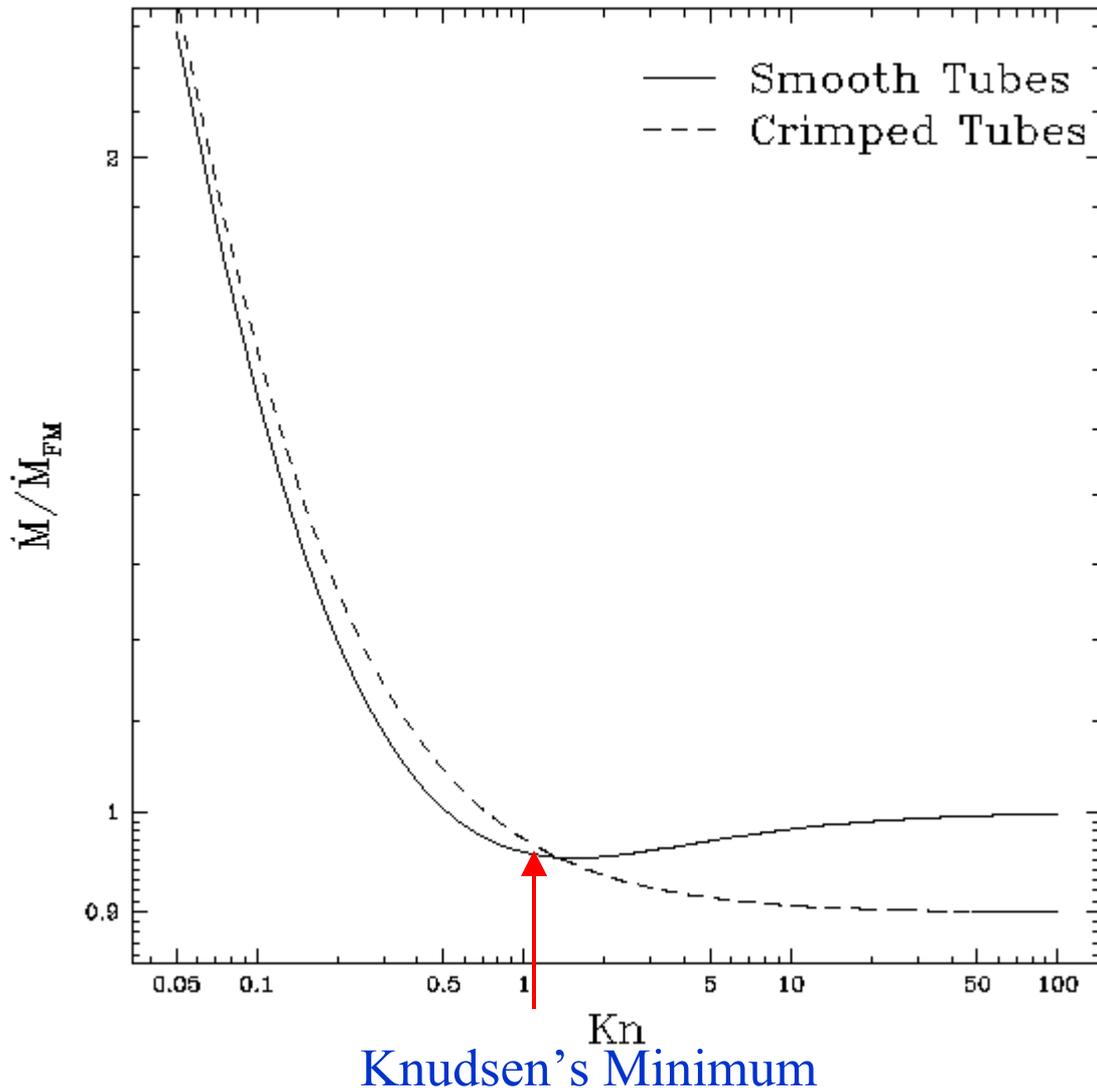
$$P_x = U_{yy}$$



Parabolic Velocity Profile?

$$P_y = \frac{4\sqrt{\pi\gamma/2}}{3} Kn_{out} M_{out} \left(\frac{P_{out}}{P} \right) U_y U_{yy}$$

Transition and Free Molecular Flow Regimes and Knudsen's Minimum

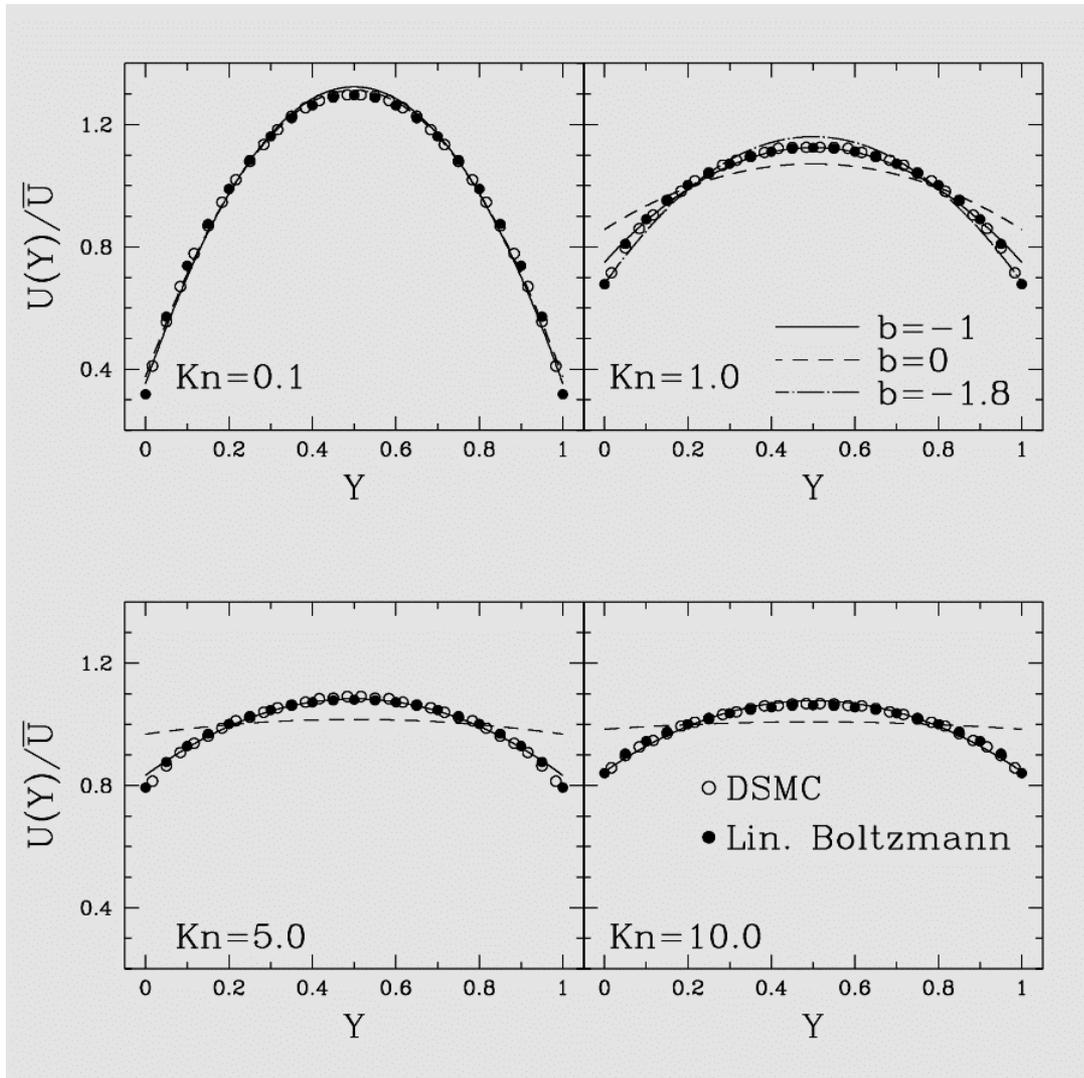


In 1909, Knudsen discovered that there is a **minimum**, when

$$\frac{\dot{Q}}{P_i - P_o}$$

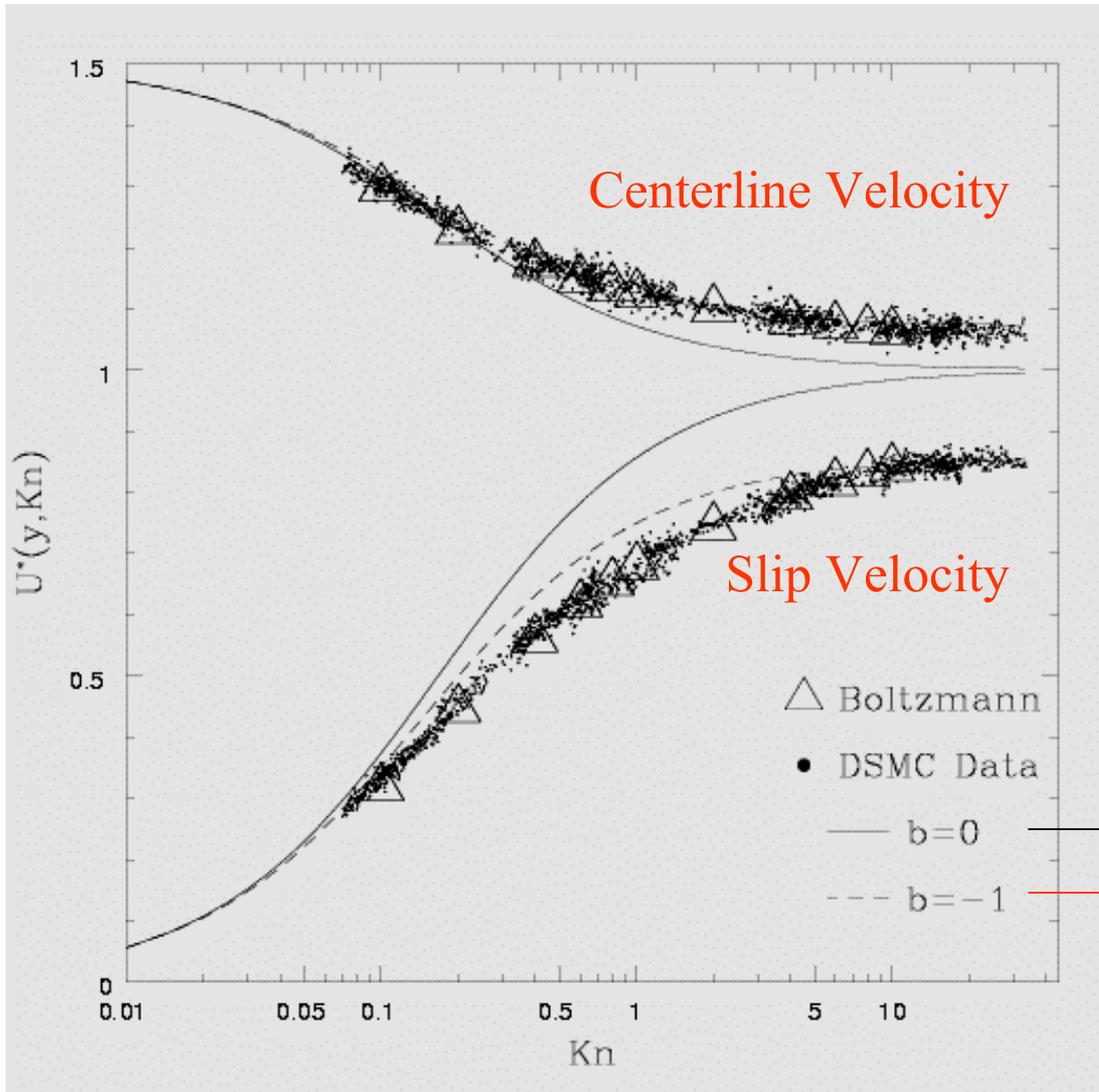
is plotted against the average pressure!

Transitional & Freemolecular Flow Regimes via Direct Simulation Monte Carlo (DSMC)



$$U(Y, Kn) = \left[\frac{-\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + \frac{Kn}{1-b Kn}}{\frac{1}{6} + \frac{Kn}{1-b Kn}} \right]$$

Universal Velocity Scaling

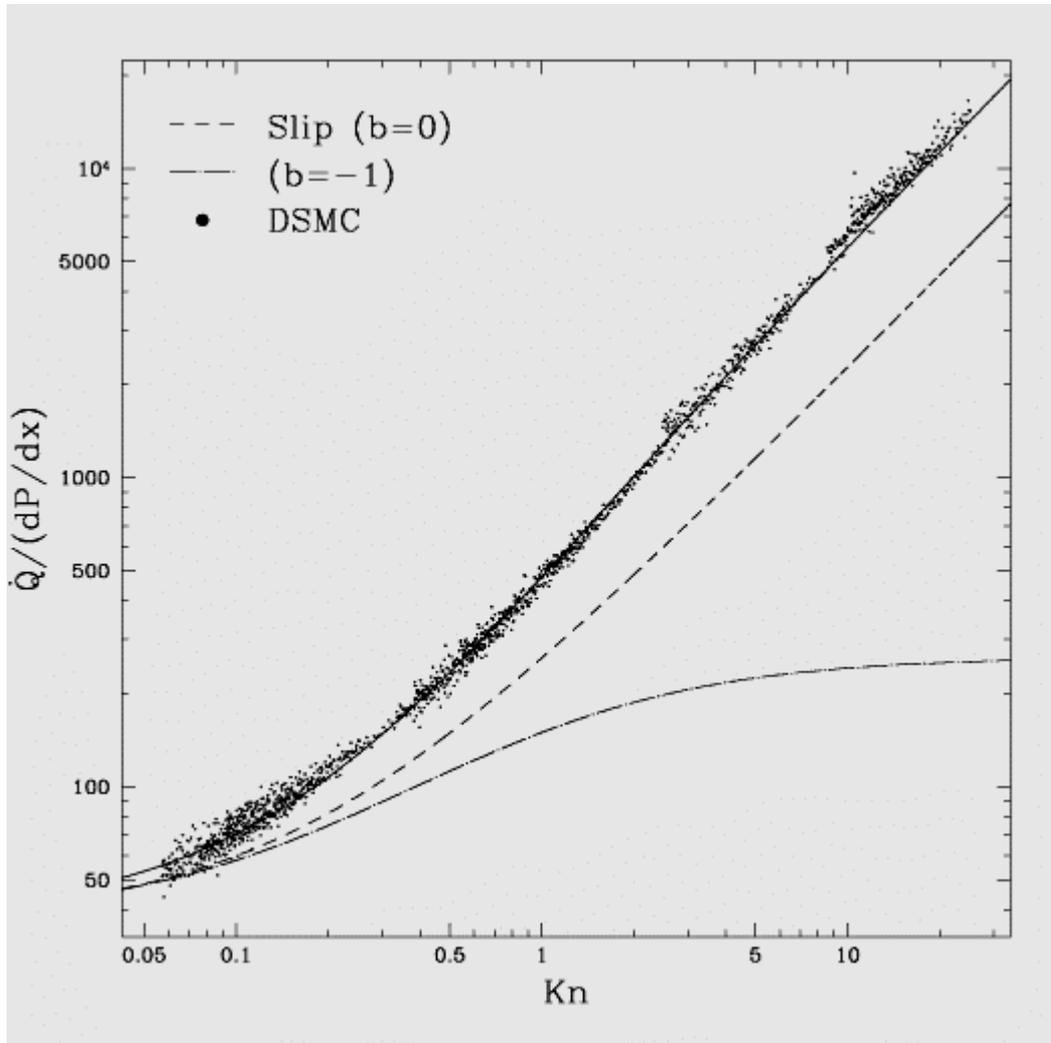


$$U(Y, Kn) = \left[\frac{-\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + \frac{Kn}{1-b Kn}}{\frac{1}{6} + \frac{Kn}{1-b Kn}} \right]$$

Maxwell's

New Model

Modeling Flowrate



Volumetric Flowrate

$$\dot{Q} = G\left(\frac{dP}{dx}, \mu, h, \lambda\right)$$

Using Navier-Stokes w/ Slip

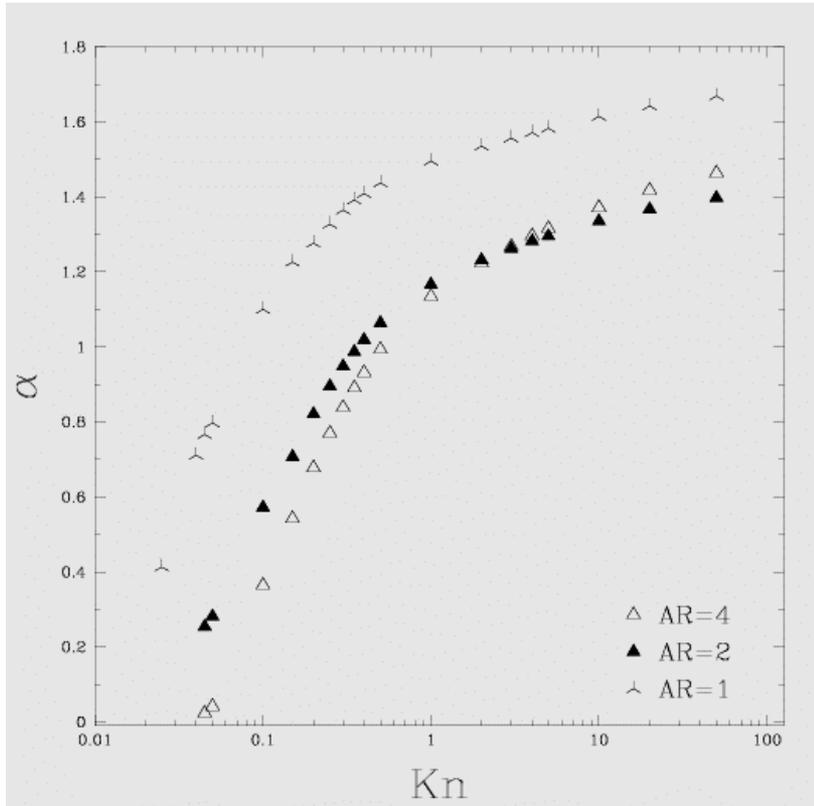
$$\frac{\dot{Q}}{W} = -\frac{h^3}{12\mu} \frac{dP}{dx} \left[1 + \frac{6Kn}{1-bKn} \right]$$

Correct for Rarefaction

$$\frac{\dot{Q}}{W} = -\frac{h^3}{12\mu} \frac{dP}{dx} \left[1 + \frac{6Kn}{1-bKn} \right] C_r(Kn)$$

Rarefaction Coefficient

Rarefaction Coefficient



$$C_r(Kn) = 1 + \alpha Kn$$

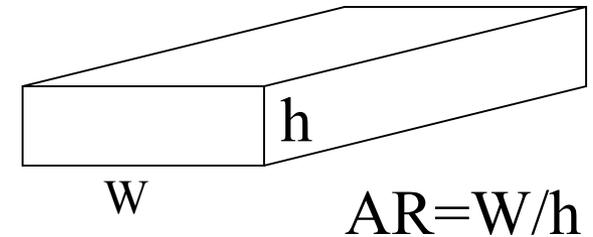
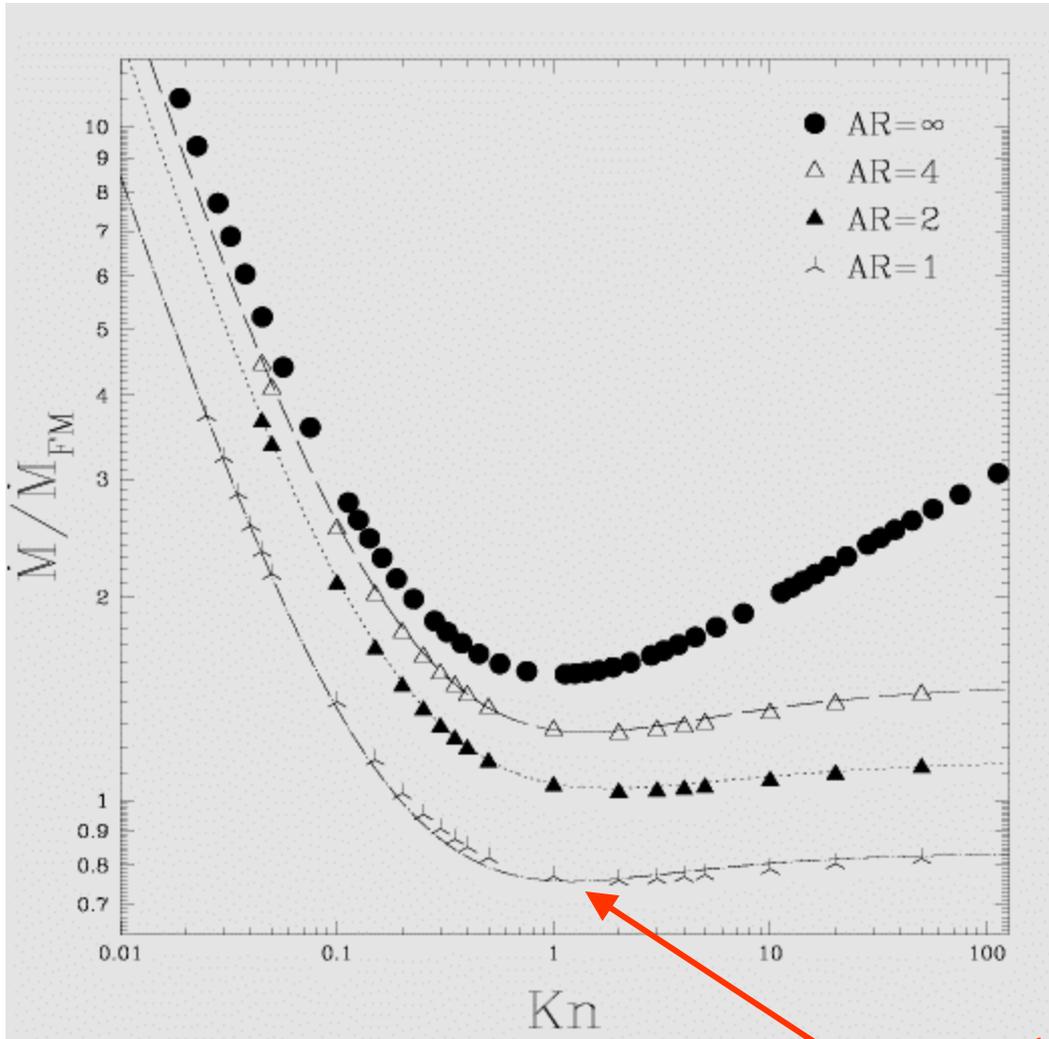
$$\alpha \Rightarrow 0 \quad \text{as} \quad Kn \Rightarrow 0$$

$$\alpha \Rightarrow \alpha_o \quad \text{as} \quad Kn \Rightarrow \infty$$

$$\alpha = \alpha_o \frac{2}{\pi} \tan^{-1}(\alpha_1 Kn^\beta)$$

α_1 & β are **empirical** parameters

Flowrate Scaling in Arbitrary Aspect-Ratio Rectangular Ducts (Based on the Freemolecular Limit)

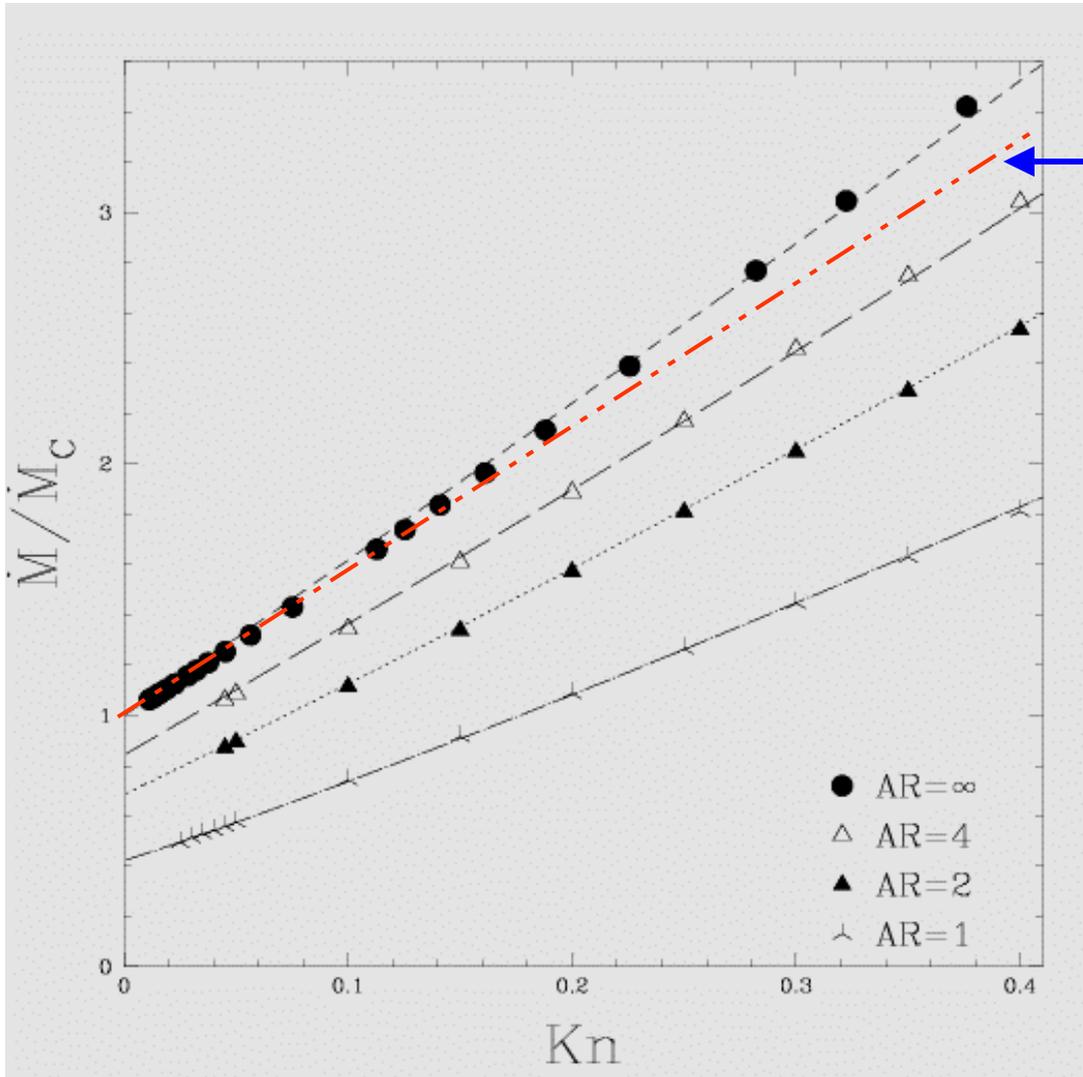


$$\frac{\dot{M}}{\dot{M}_{FM}} = \frac{C(AR)}{6Kn} (1 + \alpha Kn) \left[1 + \frac{6Kn}{1 - bKn} \right]$$

$$\dot{M}_{FM} = \frac{h^2 W}{\sqrt{2RT_o}} \frac{\Delta P}{L}$$

Knudsen's Minimum

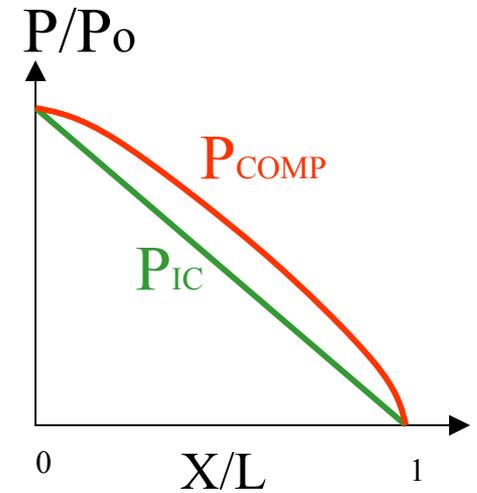
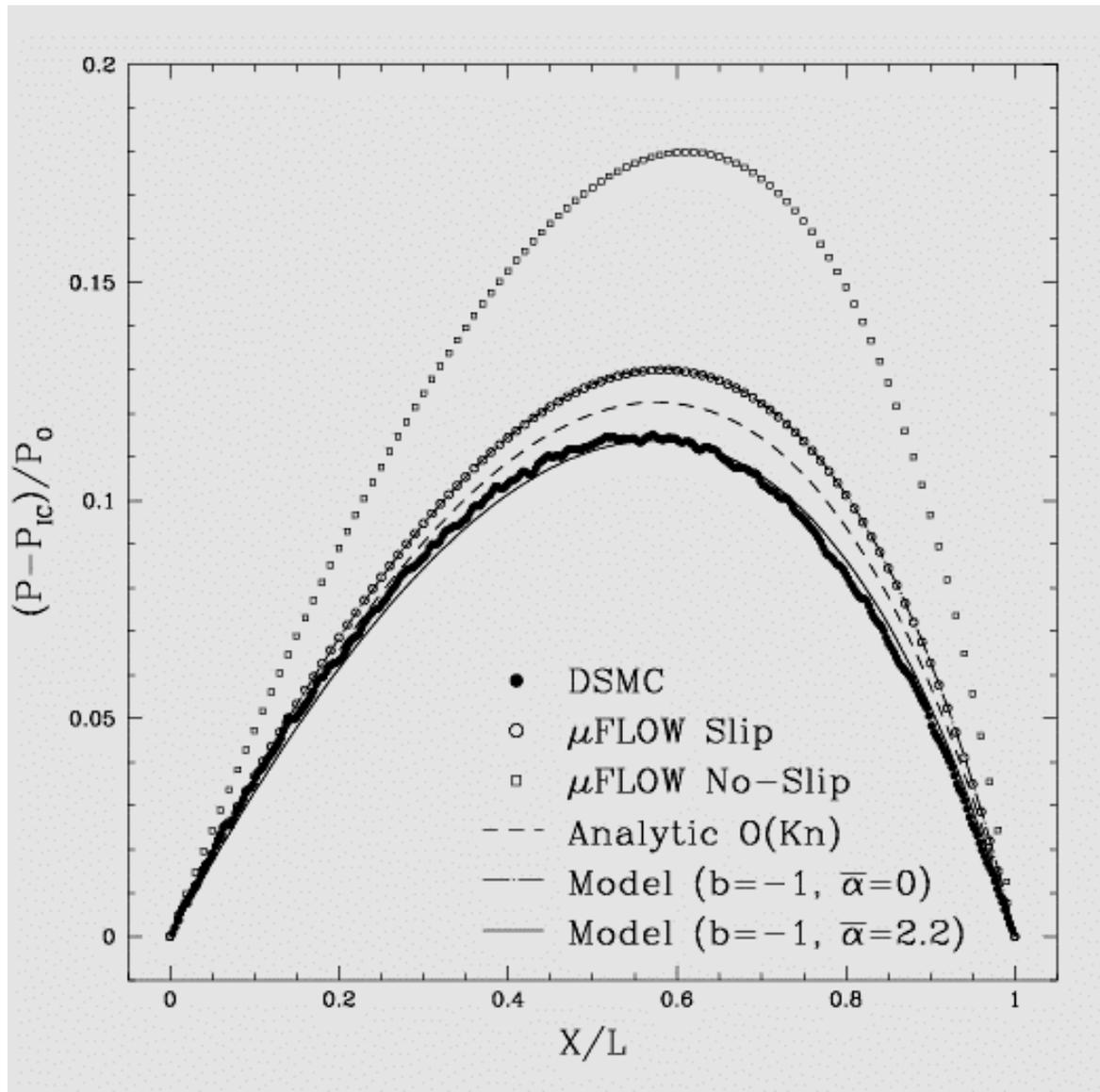
Flowrate Scaling in Arbitrary Aspect-Ratio Rectangular Ducts (Based on the Continuum Limit)



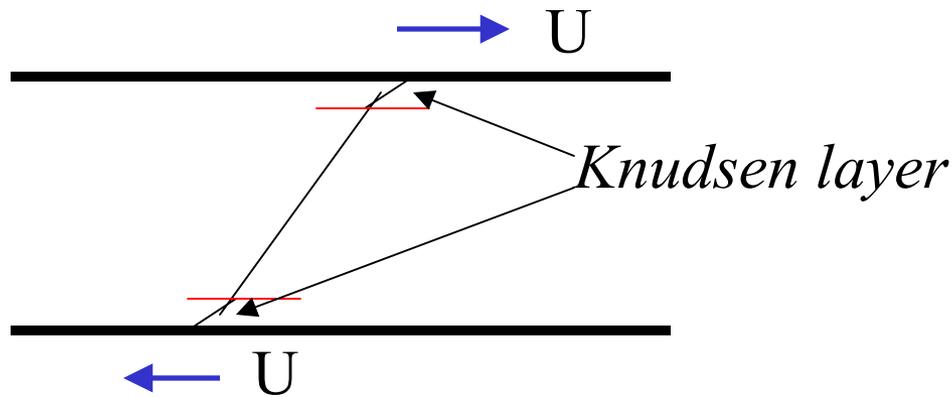
First-Order Theory

$$\frac{\dot{M}}{\dot{M}_{FM}} = C(AR)(1 + \alpha Kn) \left[1 + \frac{6Kn}{1 - b Kn} \right]$$

Channel Flow, Nonlinear Pressure Distribution



A Unified Model for Plane Couette Flows



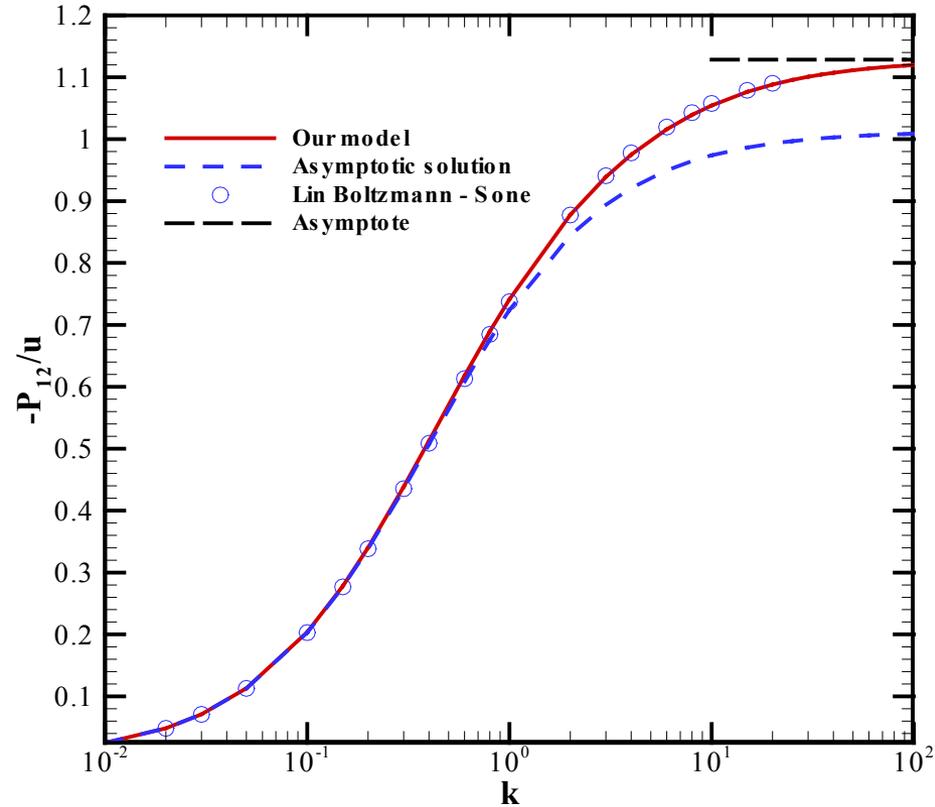
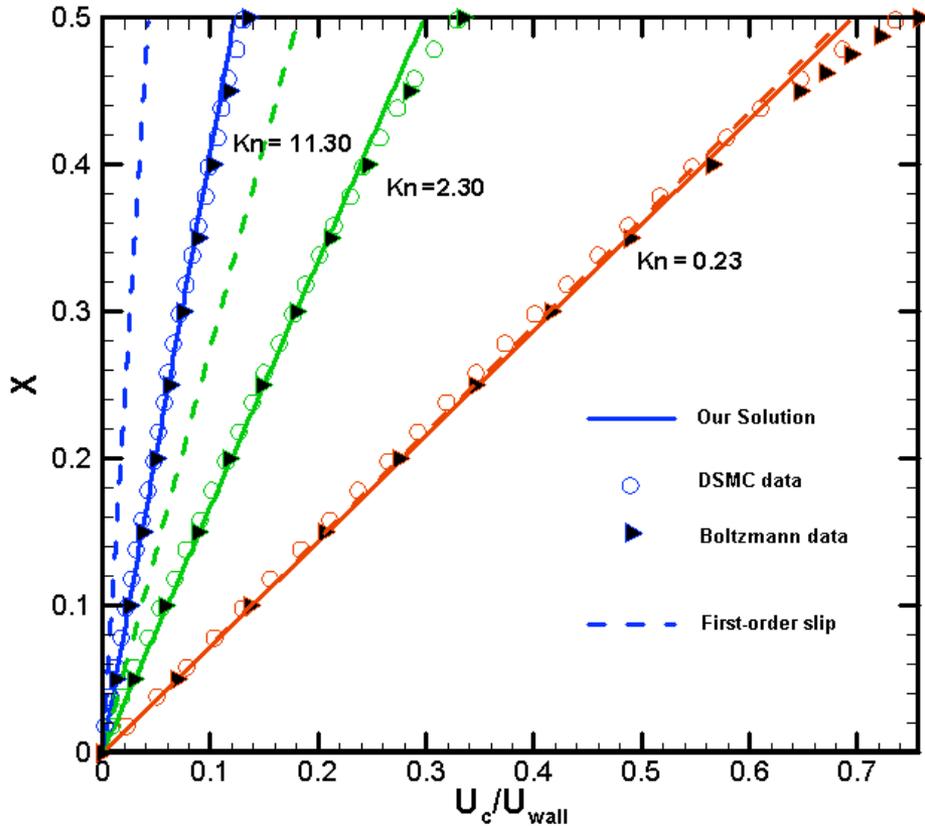
$$U_c(y) = \frac{2U}{1 + 2\alpha Kn} \frac{y}{D} \quad \alpha = a + b \tanh(cKn^d)$$

$$P_{12} = -\frac{g\theta k + 1}{\theta k + 1} \left\{ \frac{2\beta k U}{1 + 2\gamma k} \right\} \quad k = \frac{\sqrt{\pi}}{2} Kn$$

Macromodel

- Validation against: DSMC (argon, hard spheres)
and *linearized* Boltzmann solutions

A Unified Model for Plane Couette Flows

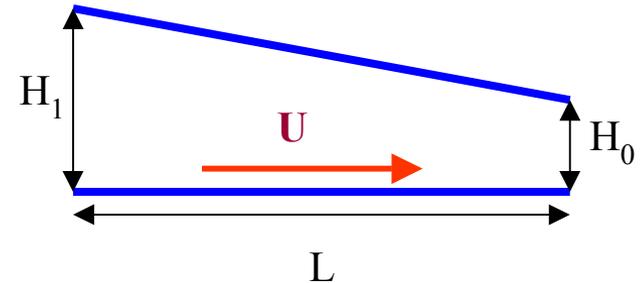


**Analytical models of velocity profile & shear stress for
 $0 < Kn < \infty$**

Gas Damping/Lubrication: Reynolds Equation

General equation:

$$\nabla \cdot \left[\left(\frac{\rho h^3}{\mu} \right) \nabla p \right] = 12 \frac{\partial(\rho h)}{\partial t} + 6 \nabla \cdot (\rho h U)$$



Inertial-free flow if: $\text{Re} \times \left(\frac{H_0}{L} \right)^2 \ll 1$

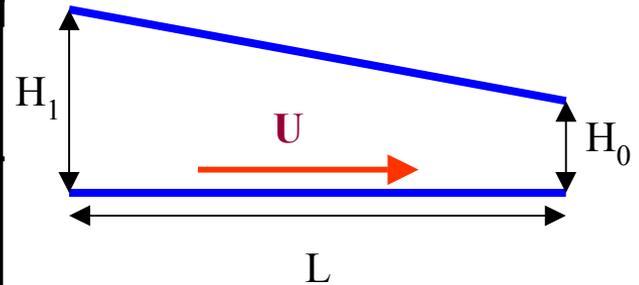
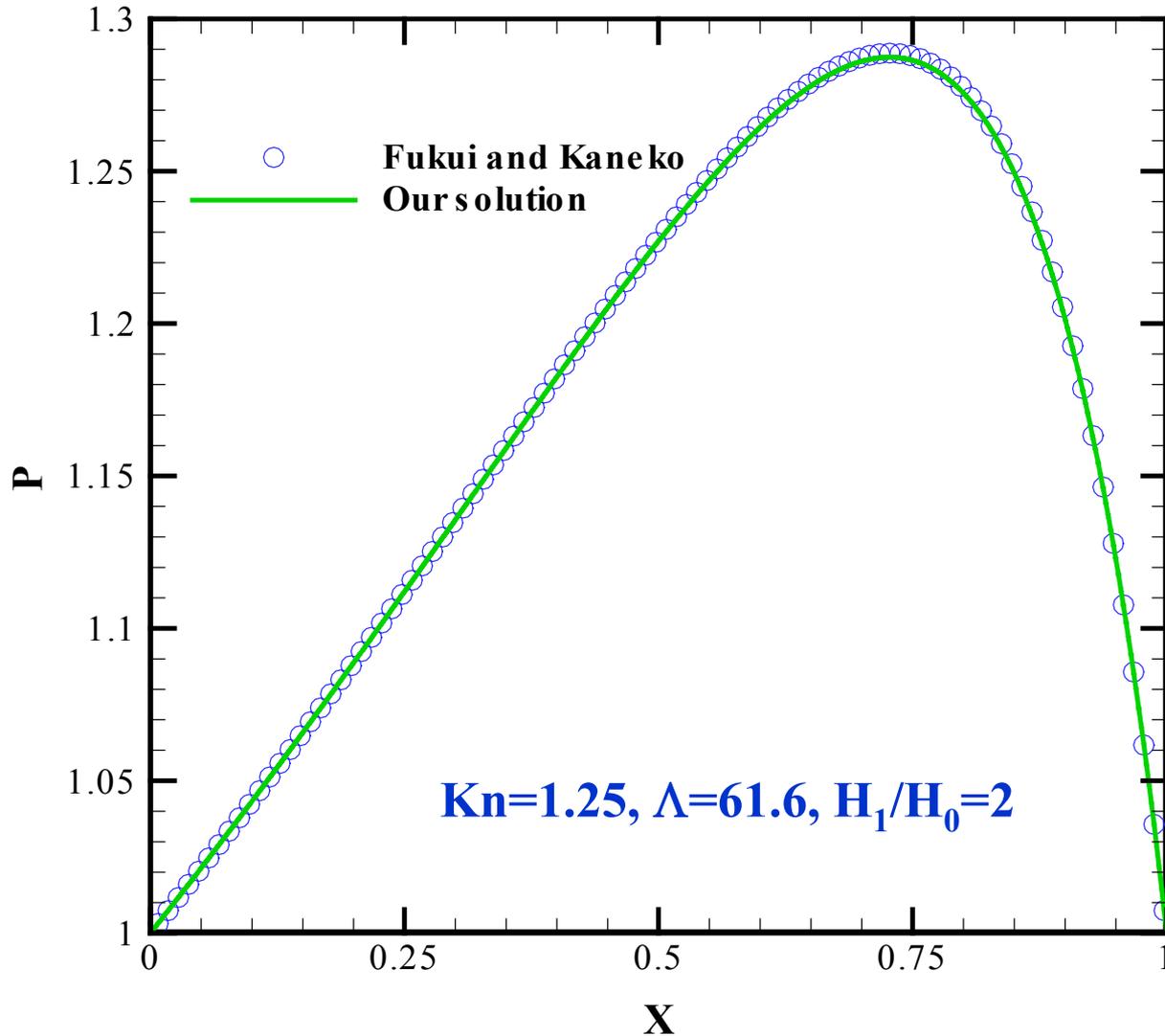
Then, leading-order solution: $\frac{dp}{dx} = \mu \frac{\partial^2 u}{\partial x^2}$ where $p = p(x)$

• Constant flowrate: $\frac{\partial}{\partial X} \left(H^3 P \frac{dP}{dX} \right) = \Lambda \frac{\partial}{\partial X} (PH)$ $\Lambda = \frac{6\mu UL}{p_0 H_0^2}$

Bearing number

• Slip-Flow: $\frac{\partial}{\partial X} \left(\left[1 + 6 \frac{2 - \sigma_v}{\sigma_v} Kn \right] H^3 P \frac{dP}{dX} \right) = \Lambda \frac{\partial}{\partial X} (PH)$

Slider Bearing Pressure Distribution



$$\Lambda = \frac{6\mu UL}{p_o H_o^2}$$

$$P = \frac{p}{p_o}, \quad X = \frac{x}{L}$$

Accurate Predictions of Pressure, Velocity, Shear Stress & Flowrate

An Analytical model for generalized Reynolds equation

$$0 < \text{Kn} < \infty$$

Numerical Simulation for Gas Micro-Flows

DSMC Method:

- **Slow Convergence:**

$$\varepsilon \propto \frac{1}{\sqrt{n}}$$

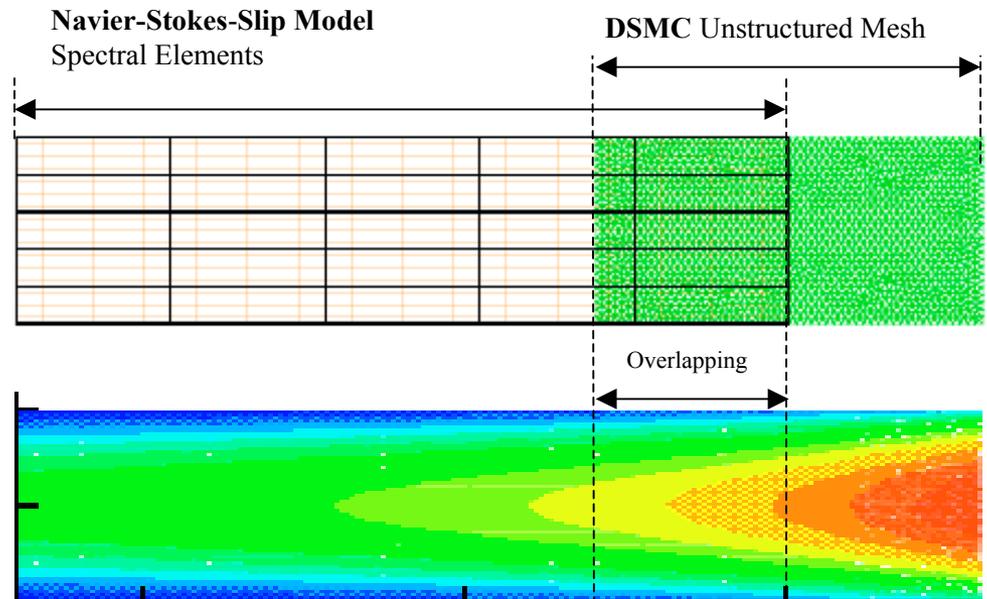
- **Large Statistical Error:**

(10^8 samples)

- **Extensive Number of Particles:**

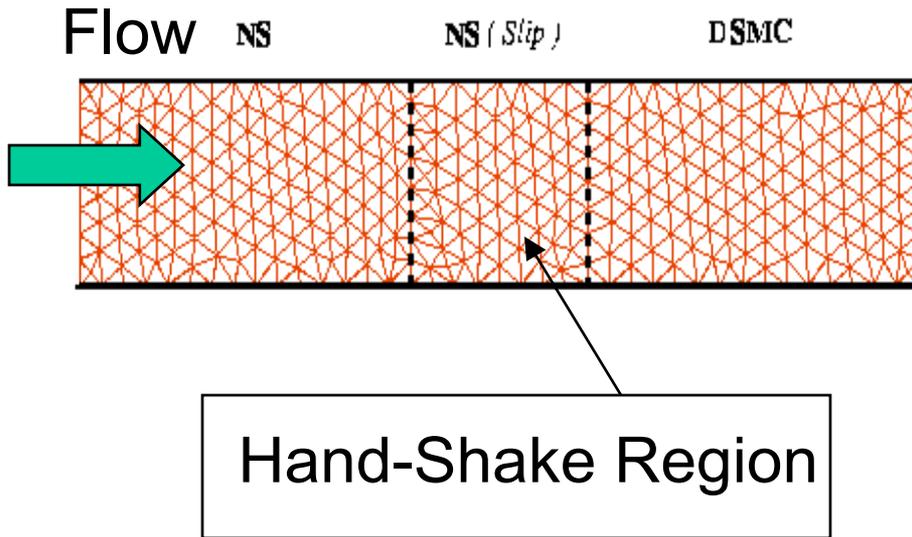
3 cells per λ
and 20 particles per cell

Multi-Domain Simulation: DSMC/Continuum Coupling

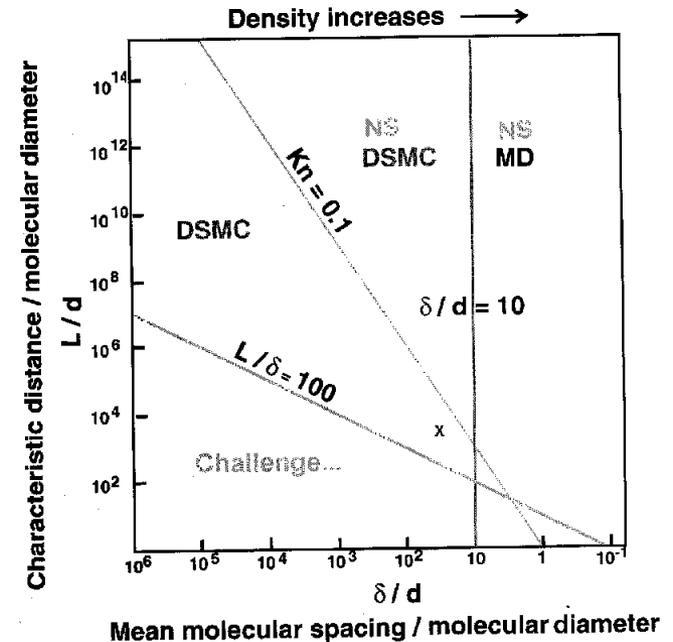


Micro-Macro Interface

- Hash & Hassan (1995)
- Garcia et al. (1999)
- Hadjiconstantinou (1999)
- Liu (1999)
- Aluru (2001)



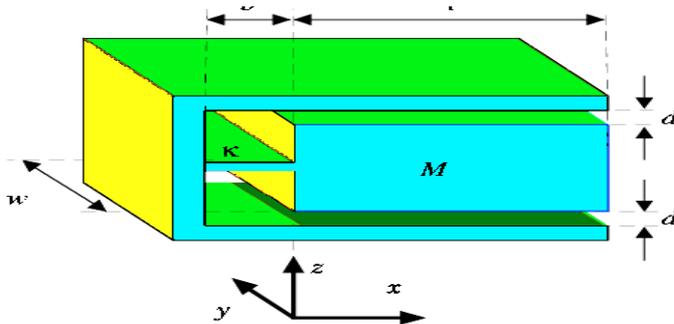
- Zanolli iterative patching



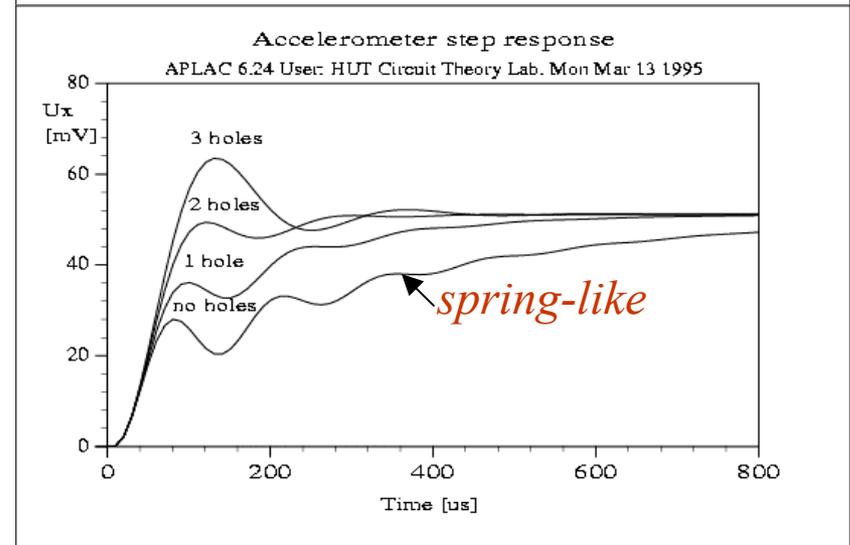
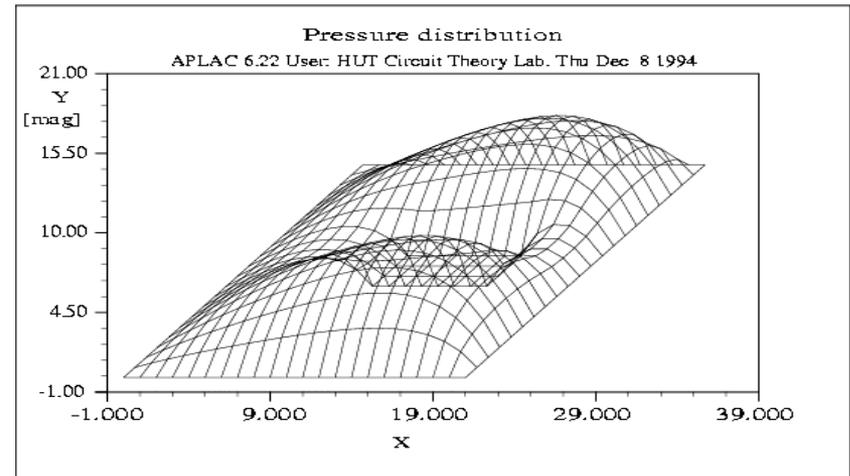
- Oran et al. (1998)

Coupled Domain Simulations

- Titling rectangular accelerometer
- Gap of 2 microns

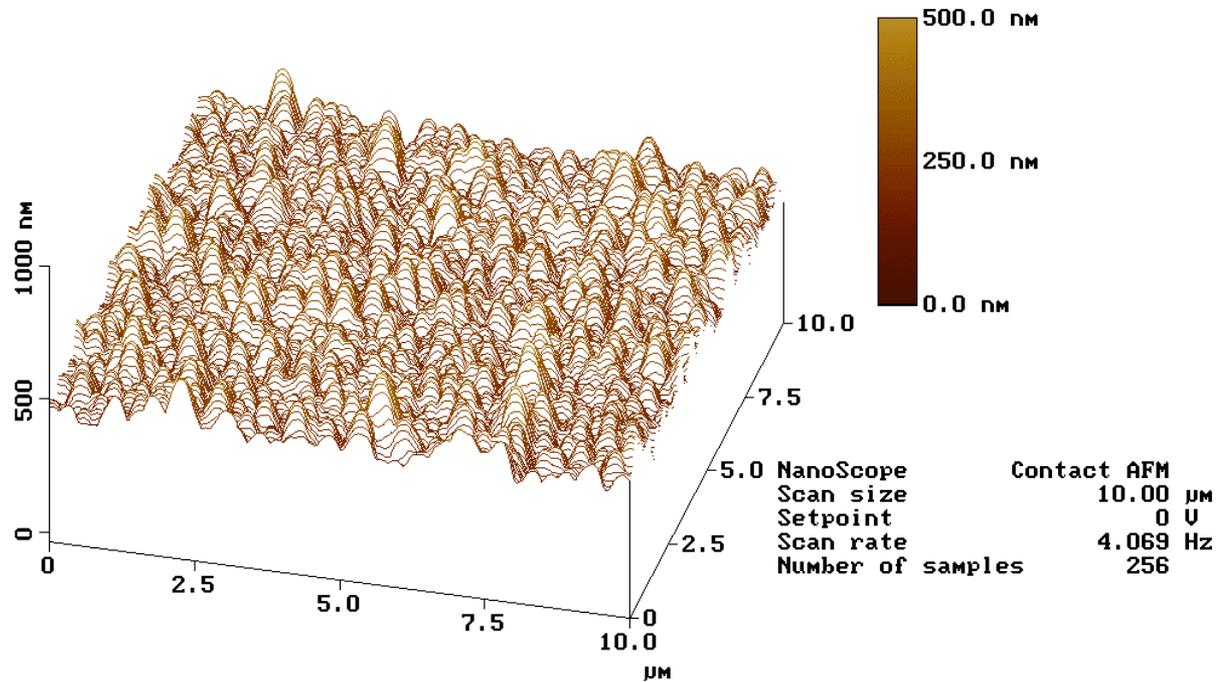


- Generalized Reynolds equation with electrostatic actuation.
- Dynamic response of a micro accelerometer with holes.



Courtesy of T. Veijola

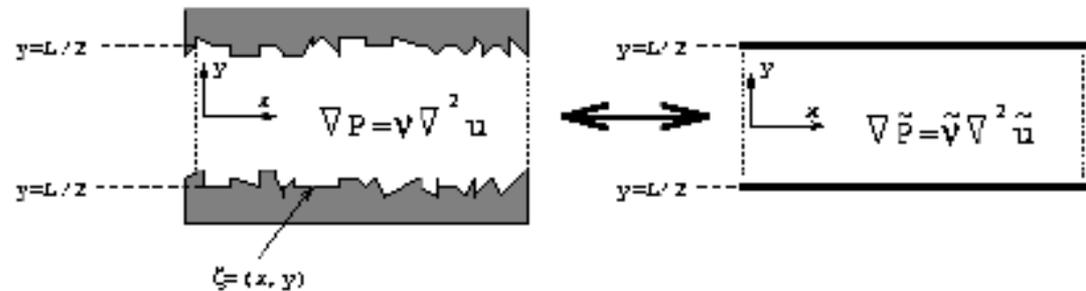
Modeling Roughness in Micro-Geometries



- Regularized roughness
- Equivalent effect
- Random walls

Apparent Diffusion: Roughness

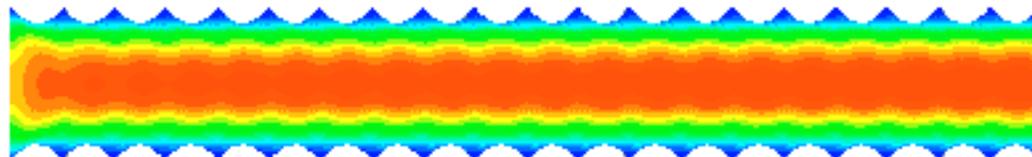
- Model the extra chaotic motion (extra diffusion) due to the rough boundary using correlation function of surface inhomogeneities



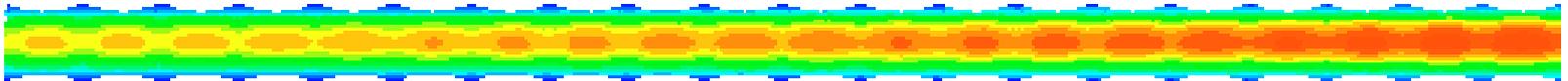
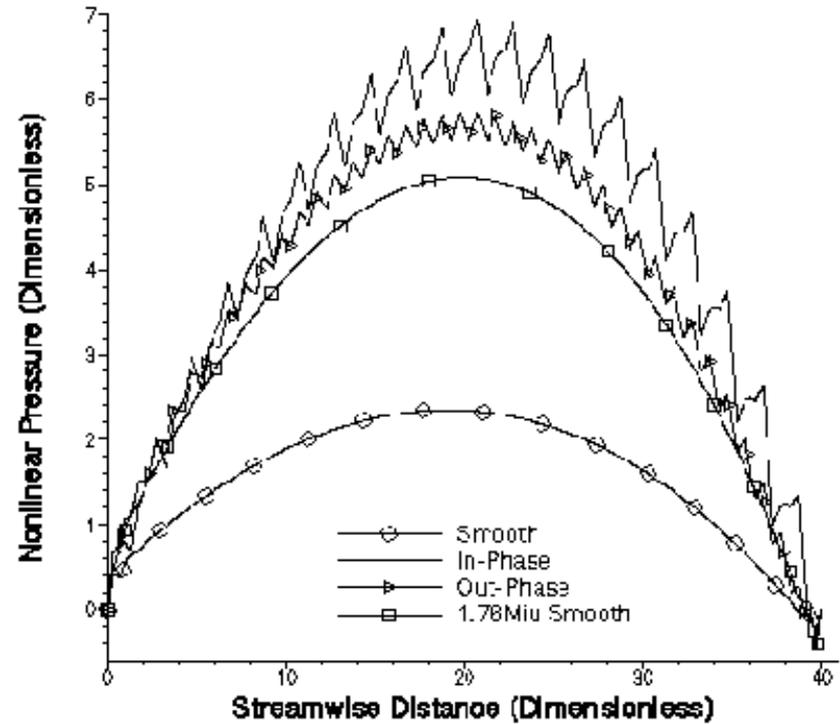
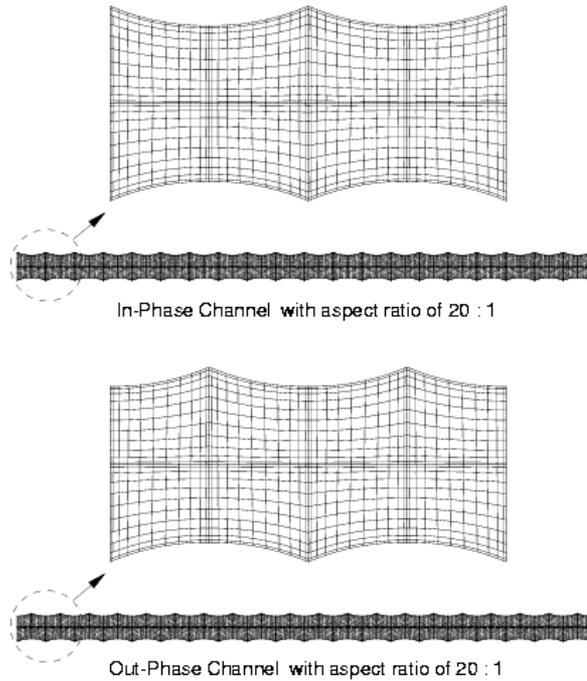
- Use Migdal transformation from nuclear physics

$$Y = \frac{L[y - 1/2[\xi_2(x, z) - \xi_1(x, z)]]}{L - [\xi_1(x, z) + \xi_2(x, z)]} \quad (1)$$

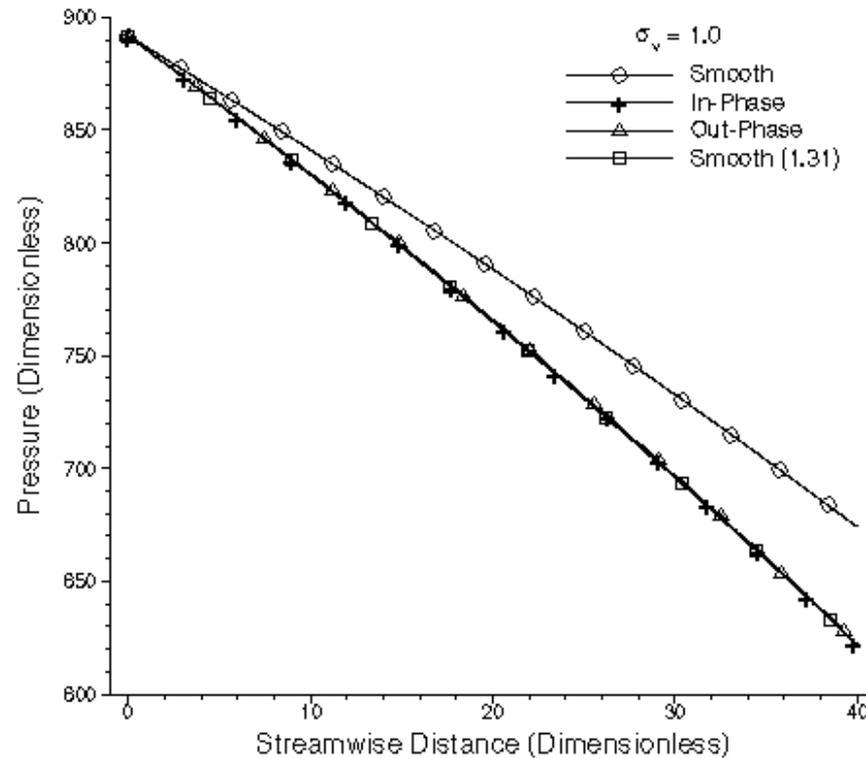
- Renormalize the viscosity to account for extra diffusion
- Solve new equation in simple domain
- Start with regular roughness



Roughness Effect on Pressure Drop



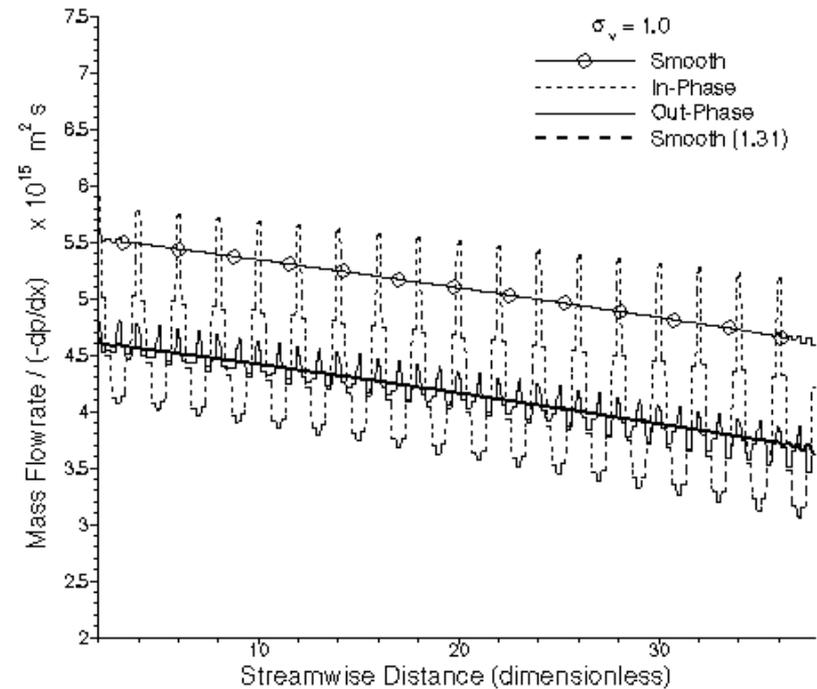
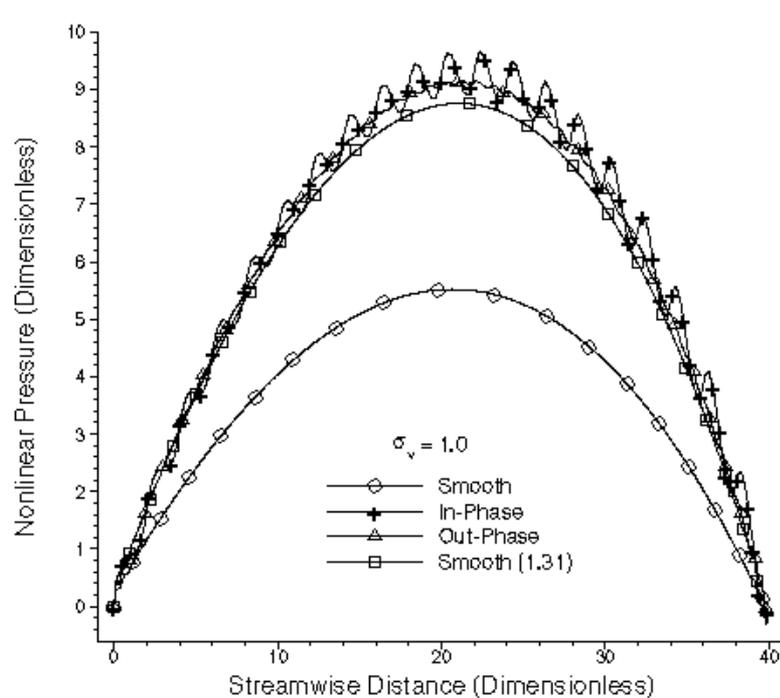
Slip Compressible Flow $\sigma_v=1.0$



- $Re = 0.36$; with enhanced viscosity $Re = 0.276$
- Simulation - 0.640×10^{-5} kg/s, formula - 0.641×10^{-5} kg/s
Simulation - 0.640×10^{-5} kg/s, formula - 0.643×10^{-5} kg/s (enhanced)
- Enhanced viscosity factor: 1.31



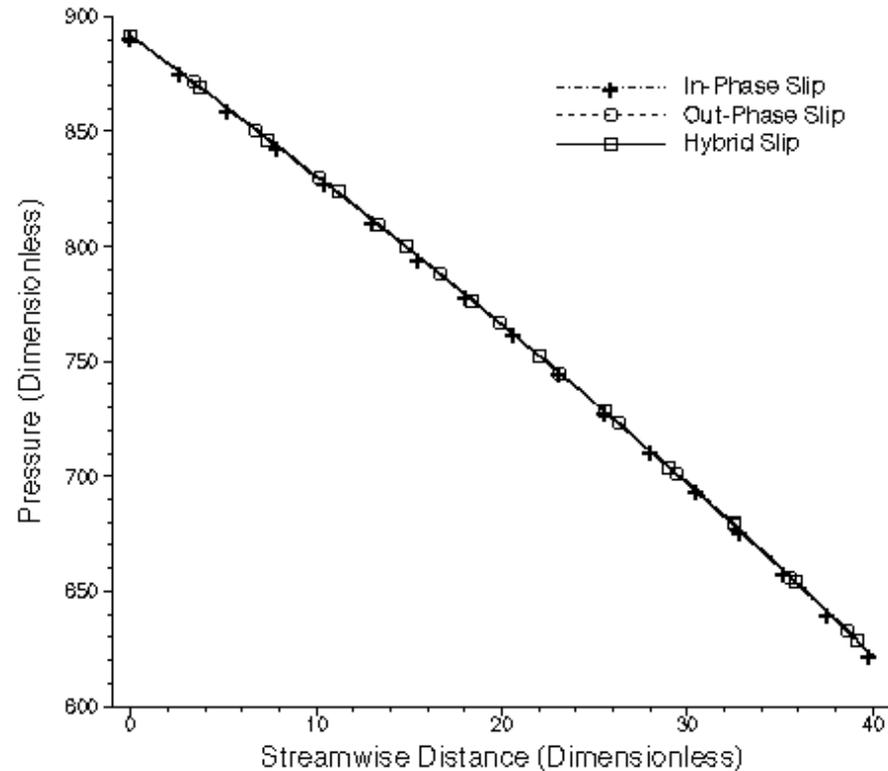
Slip Compressible Flow $\sigma_v=1.0$ (continued)



- Slip walls make the flow less compressible than noslip walls
- Slip flow needs more extra viscosity than noslip flow



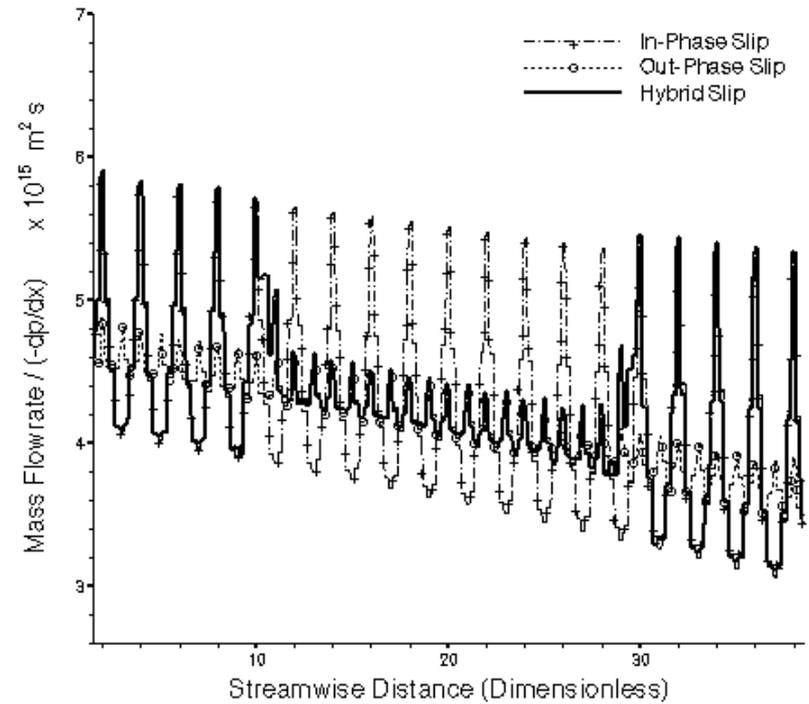
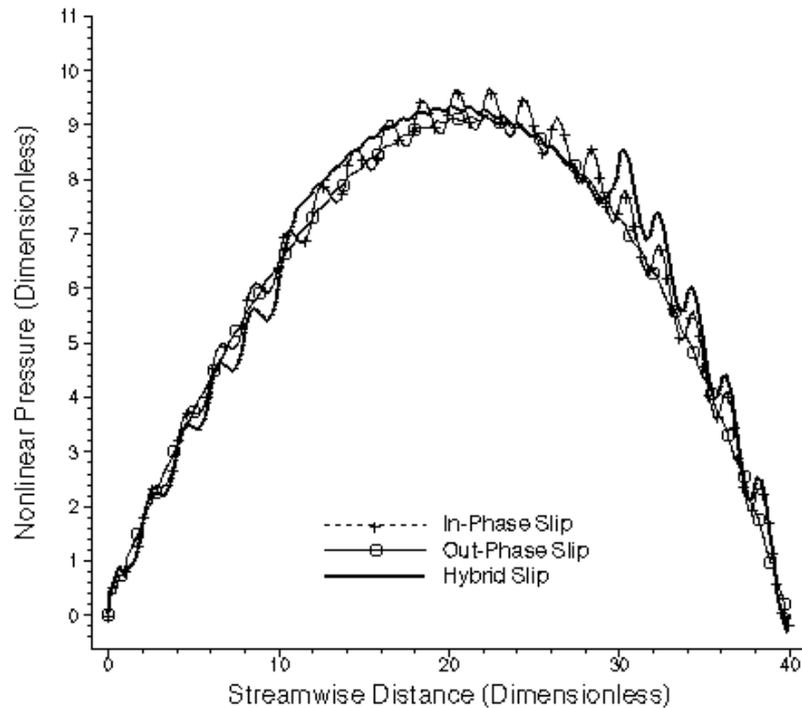
In-Phase, Out-of-Phase & Hybrid Channels



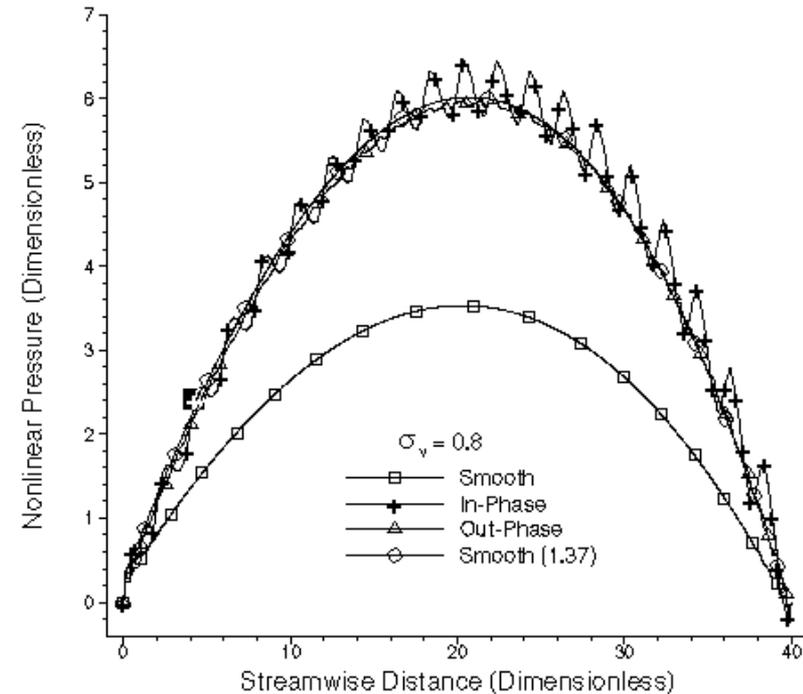
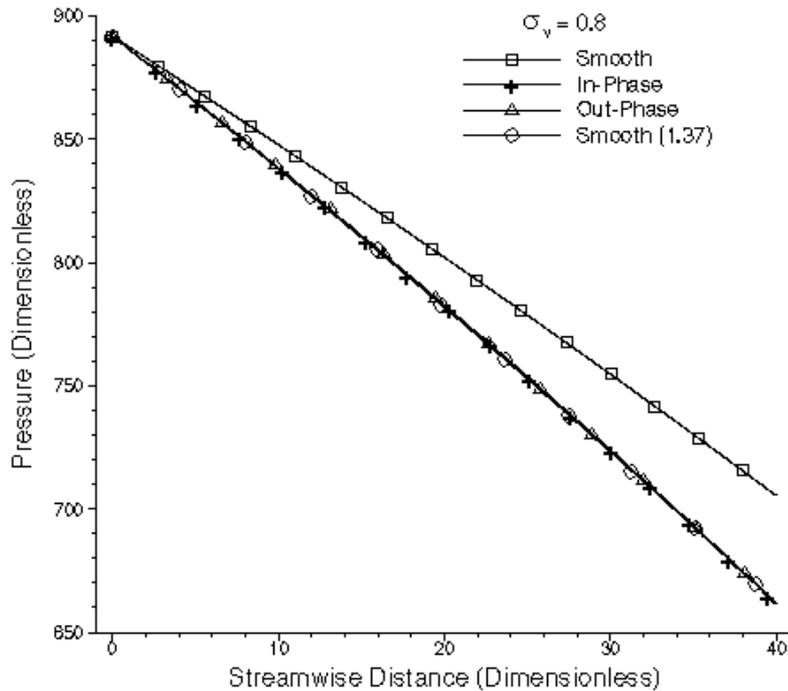
- These channels are hydrodynamically equivalent
- Artificial roughness patterns induce similar apparent diffusion



In-Phase, Out-of-Phase & Hybrid Channels (continued)



Slip Compressible Flow $\sigma_v=0.8$ and 0.6 (continued)

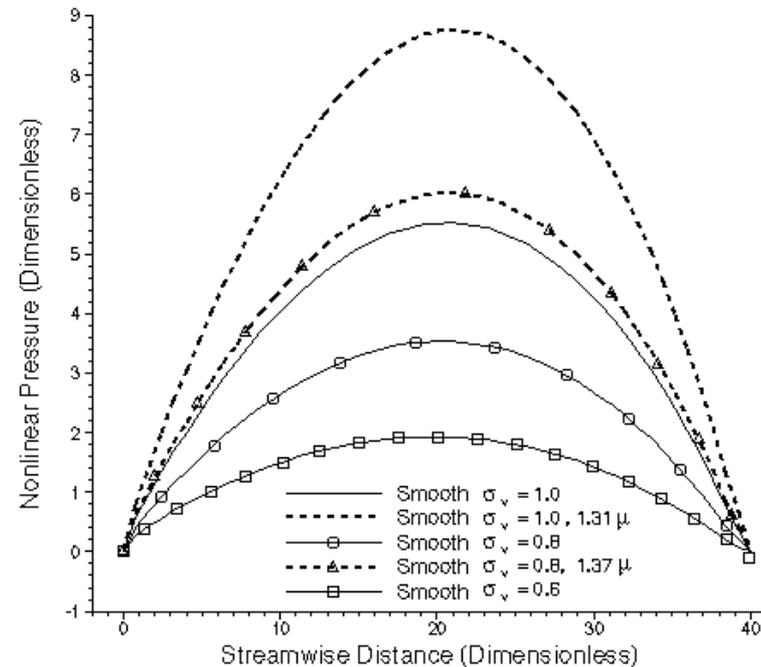
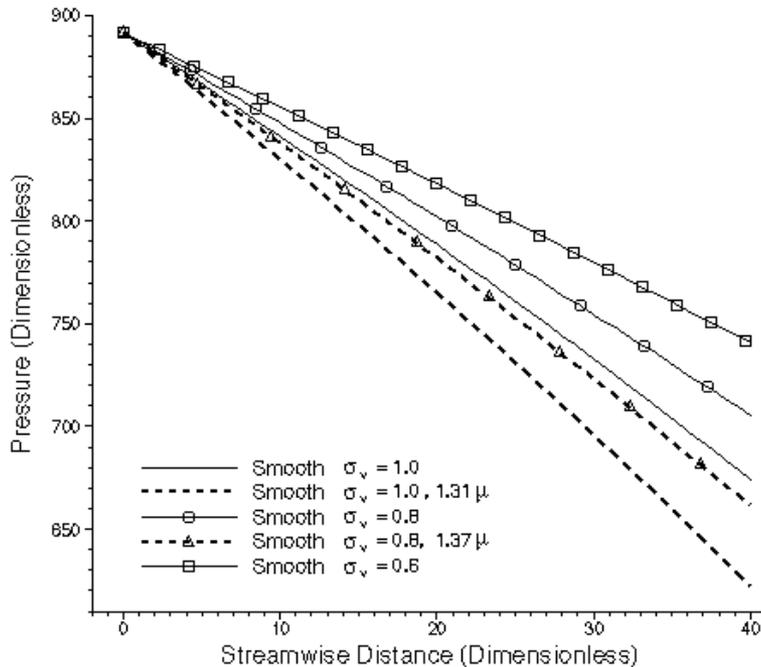


Increased surface smoothness condition

- enlarges the enhanced viscosity factor to 1.37
- lessens the overall pressure drop
- needs more artificial viscosity
- makes the flow less compressible



The Effect of Surface Roughness Condition

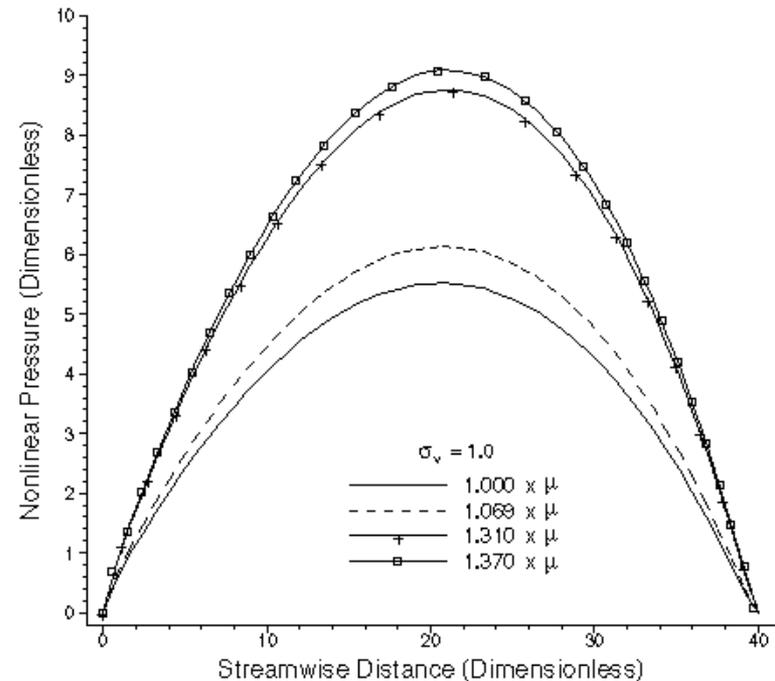
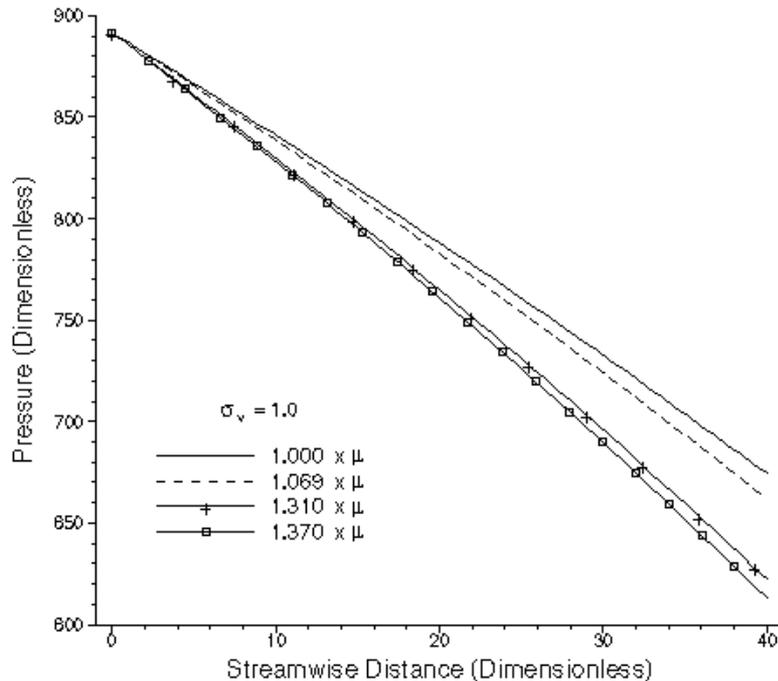


Improved surface roughness condition

- makes the flow less compressible
- decreases the overall pressure drop
- balances more extra artificial viscosity added into the flow



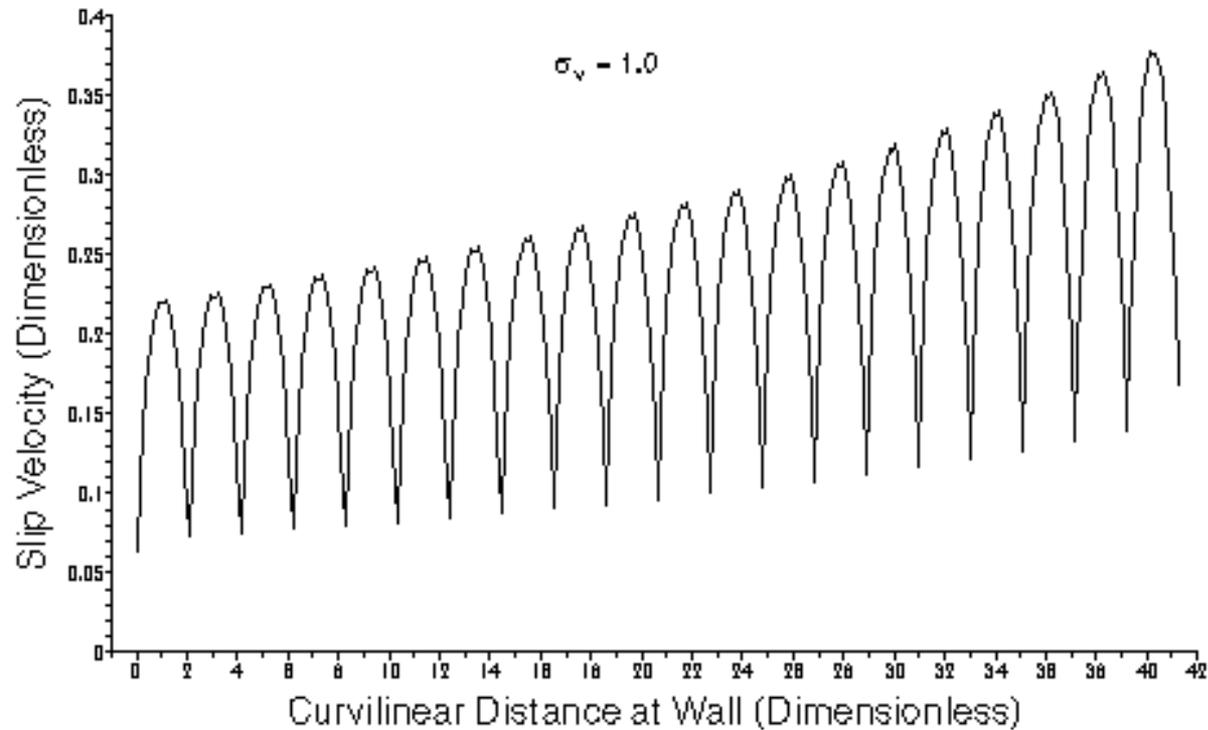
The Effect of Extra Artificial Viscosity



- Enhanced viscosity factor for $\sigma_v = 1.0$ was found as 1.069, to match the case $\sigma_v = 0.8$, enhanced viscosity factor 1.37
- Enhanced viscosity increases pressure drop and compressibility
- Enhanced viscosity competes improvement of surface condition



Slip Velocity at In-Phase Curvilinear Wall



- Slip velocity fluctuates around artificial roughness
- Slip velocity increases as the main flow develops



Verifications

$$\dot{M} = \frac{h^3 p_i \Delta p}{24 \mu_i R T_i L} \left[1 + \Pi + 2 \left(\frac{12 - 6\sigma_v}{\sigma_v} + \alpha \right) Kn_i + \frac{2 - \sigma_v}{\sigma_v} \frac{12(b + \alpha)}{1 - \Pi} Kn_i^2 \ln \frac{1 - bKn_i}{\Pi - bKn_i} \right]$$

Δp - pressure drop: $p_i - p_o$

Π - pressure ratio: p_o / p_i

L - total length of microscaled channels

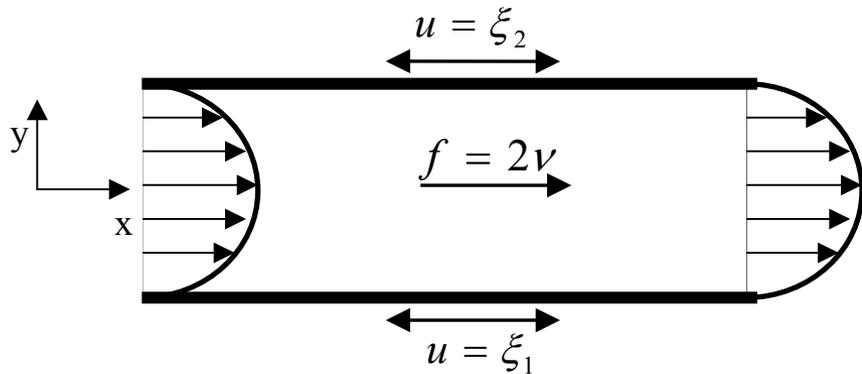
α - rarefaction factor, $\alpha=0$ for $Kn < 0.5$

h - channel width

b - slip parameter, $b=-1$ for fully developed channel flow

Case	Π	μ_i (kg/m/s)	Kn_i	σ_v	M_{simu} (kg/s)	$M_{formula}$ (kg/s)	Error
1	0.75638	1.7600×10^{-5}	0.07	1.0	6.40×10^{-6}	6.41×10^{-6}	0.2%
2	0.69803	2.3056×10^{-5}	0.09	1.0	6.40×10^{-6}	6.43×10^{-6}	0.5%
3	0.79142	1.7600×10^{-5}	0.07	0.8	6.40×10^{-6}	6.42×10^{-6}	0.3%
4	0.74247	2.4112×10^{-5}	0.10	0.8	6.40×10^{-6}	6.45×10^{-6}	0.7%
5	0.68743	2.4112×10^{-5}	0.10	1.0	6.41×10^{-6}	6.45×10^{-6}	0.6%
6	0.74273	1.8814×10^{-5}	0.08	1.0	6.40×10^{-6}	6.42×10^{-6}	0.3%
7	0.83100	1.7600×10^{-5}	0.07	0.6	6.40×10^{-6}	6.42×10^{-6}	0.3%

Channel flow with Random Boundary Conditions

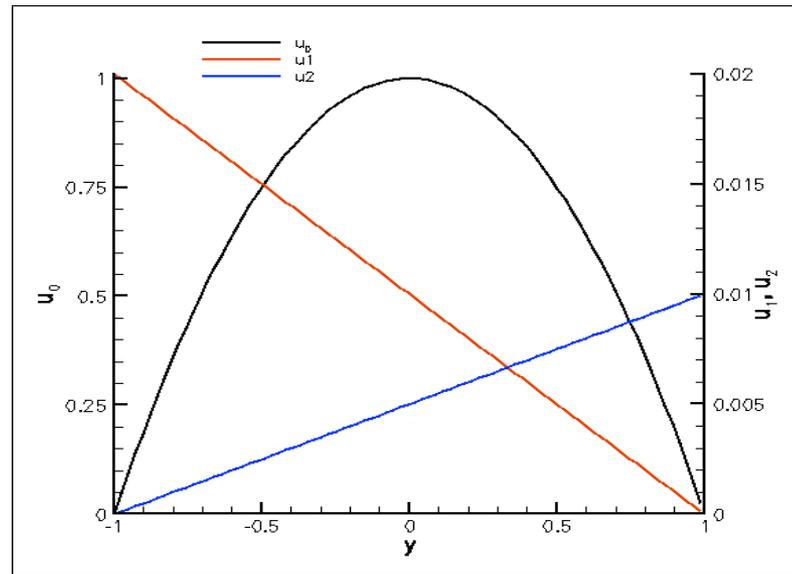


Exact solution (uniform BCs):

$$u(y) = (1 - y^2) + \frac{1 - y}{2} \sigma_1 \xi_1 + \frac{1 + y}{2} \sigma_2 \xi_2$$

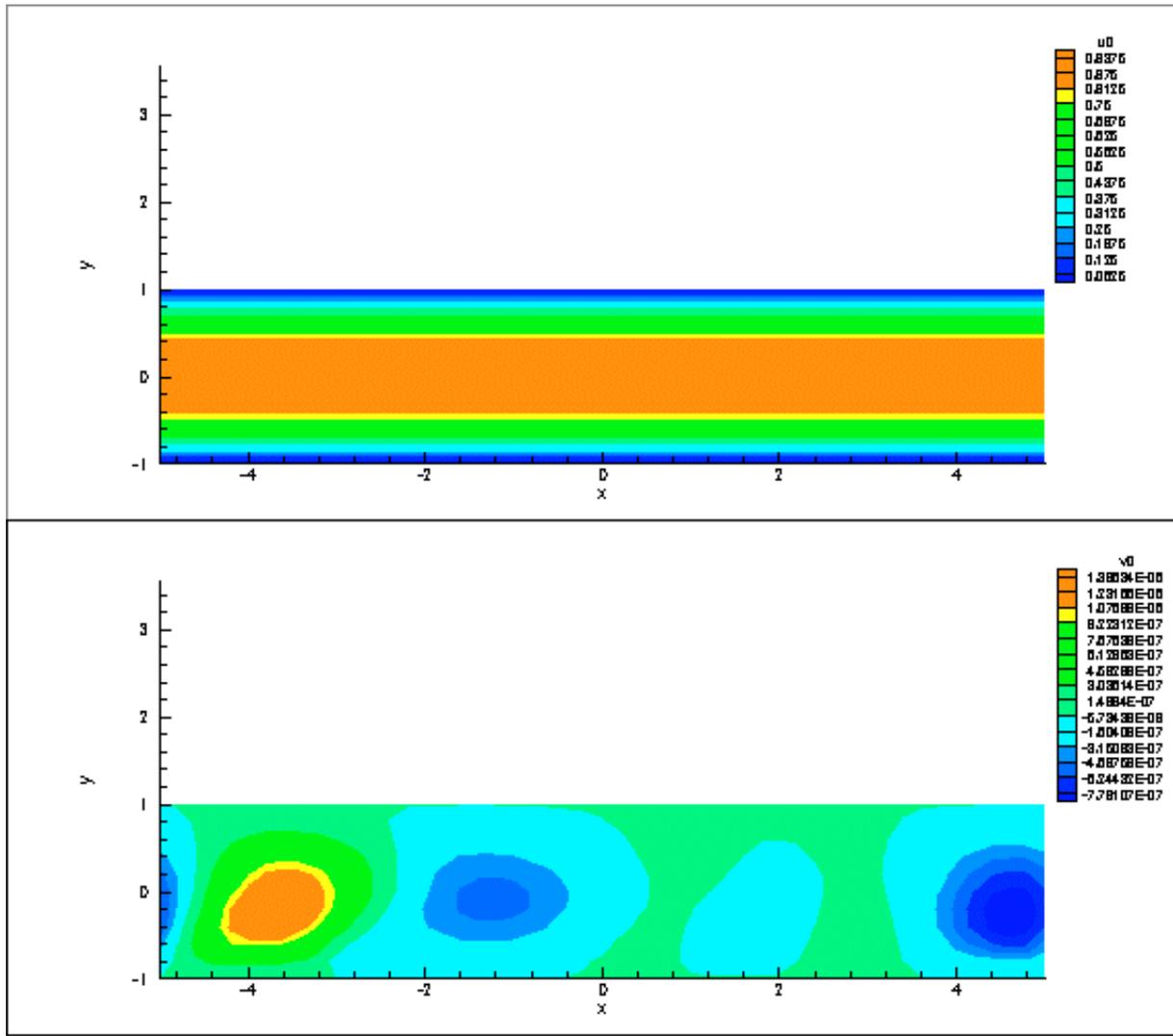
- Two-dimensional PC expansion
- Gaussian inputs :

$$\sigma_1 = 2\%, \quad \sigma_2 = 1\%$$



Solution profile across the channel

Non-Uniform Uncertainty at Wall



Non-uniform Gaussian Random BC

- Exponential correlation

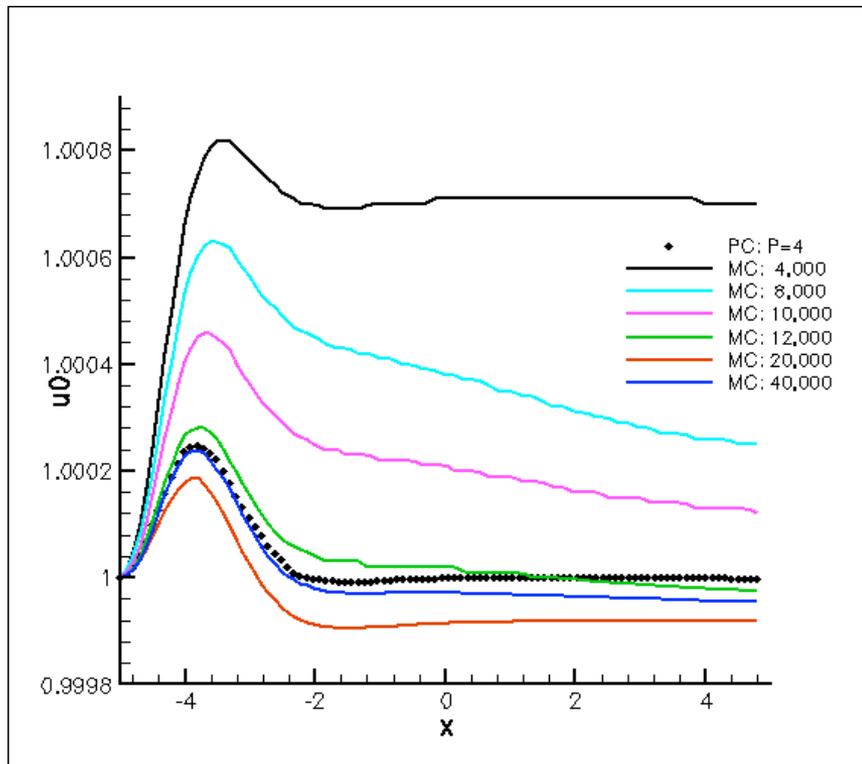
$$C(x_1, x_2) = \sigma^2 e^{-|x_1 - x_2|/b}$$

- Stochastic input: $\sigma = 0.1$

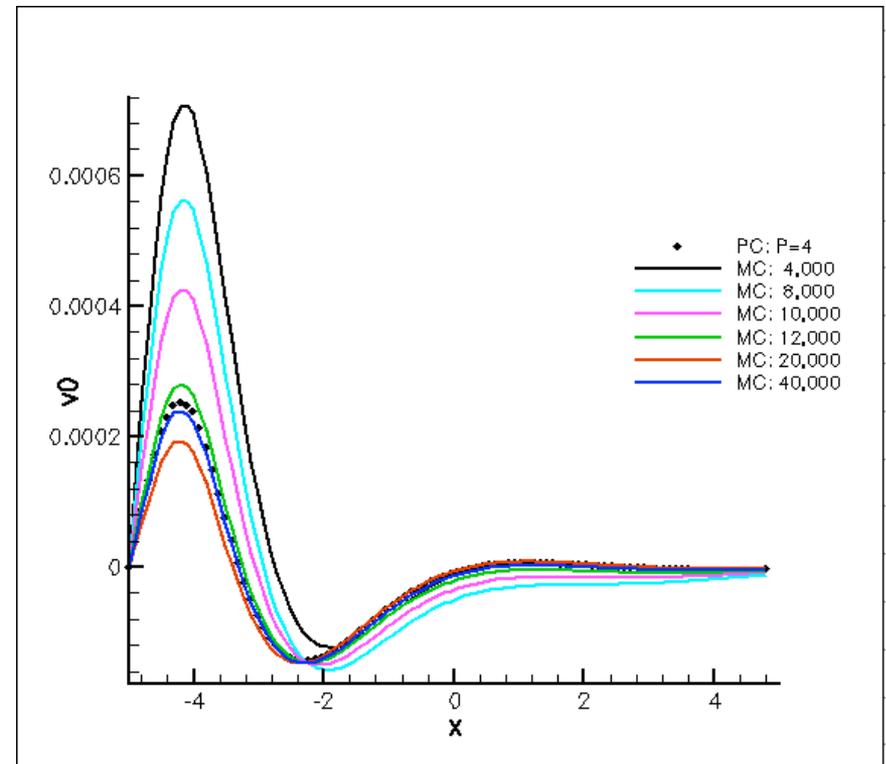
- 2D K-L expansion

- 4th-order Hermite-Chaos expansion

- 15-term expansion



U_{mean} along centerline



V_{mean} along centerline

Mode 1

