# **Computational Methods for MEMS**

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**Thanks to:** 

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# **Course Objectives**

- **Understand how MEMS are designed**
- Understand some of the computational techniques that go into the development of MEMS simulation tools
  - **Specific examples: electrostatic MEMS, microfluidics**

# Outline

- **Some MEMS Examples**
- **Mixed-Domain Simulation of electrostatic MEMS and** microfluidics
  - **Techniques for interior problems (e.g. FEM)**
  - **Techniques for exterior problems (e.g. BEM)**
  - **Algorithms**

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#### Accelerometer



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# **Micro Mirror**



#### Applications

High performance projection displays

# Outline

- **Some MEMS Examples** V
  - **Mixed-Domain Simulation of electrostatic MEMS and** microfluidics
    - **Techniques for interior problems (e.g. FEM)**
    - **Techniques for exterior problems (e.g. BEM)**
    - **Algorithms**
  - **Dynamic Analysis**

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#### Is Electrostatics a good idea?

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# **Scaling Laws**

- Useful to understand where macro-theories start requiring corrections with the aim of better understanding the physical consequences of downscaling
- Develop an understanding of how systems are likely to behave when they are downsized
- **Examples** 
  - By reducing the size of a device, the structural stiffness generally increases relative to inertially imposed loads
  - The mass or weight scales as l<sup>3</sup>, while the surface tension scales as l as the system size becomes smaller
  - More difficult to empty liquids from a capillary compared to spilling coffee from a cup because of increased surface tension in a capillary
  - Heat loss is proportional to l<sup>2</sup>; Heat generation is proportional to l<sup>3</sup>; As animals get smaller, a greater percentage of their intake is required to balance heat loss; Insects are cold blooded

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# **Scaling in Electrostatics**

Distance	L
Velocity	L
Mass	L <sup>3</sup>
Gravity	L <sup>3</sup>
Surface Tension	L
Electrostatic force	L <sup>2</sup>

#### Consider a capacitor

The electrostatic P.E. stored in a capacitor is:  $E_{e,m} = \frac{\varepsilon_0 \varepsilon_r h w V_b^2}{2d}$ 

 $V_b$  = electrical breakdown voltage

Friction	L <sup>2</sup>
van der Waals	L <sup>1/4</sup>
Time	L <sup>0</sup>
Muscle force	L <sup>2</sup>
Power	L <sup>3</sup>
Torque	L <sup>3</sup>



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### **Scaling in Electrostatics**

Assume  $V_b$  scales linearly with d (the gap)

$$E_{e,m} = \frac{l^0 l^1 l^1 l^2}{l^1} \to l^3$$

The maximum energy stored in the capacitor scales as  $L^3$ 

If L decreases by a factor of 10, the stored energy in the capacitor decreases by a factor of 1000

#### **Electrostatic Force**

$$F_x = -\frac{\partial}{\partial x} \left( \frac{1}{2} C V^2 \right)$$





Electrostatic force scales as L<sup>2</sup>; This is an advantage because mass and inertial forces scale as L<sup>3</sup>; The electrostatic force gains over inertial forces as the size of the system decreases

# **Scaling Laws: Vertical Bracket Notation**

Different possible forces can be written as

$$F = \begin{cases} l_1^1 \\ l_2^2 \\ l_3^3 \\ l_4 \end{cases} \xrightarrow{\text{case where the force scales as L^1}} \text{case where the force scales as L^2} \\ a = \frac{F}{m} = \left[ l^F \left[ l^{-3} \right] = l^{F-3} \qquad t = \sqrt{\frac{2mx}{F}} = \sqrt{l^3 \cdot l \cdot l^{-F}} \\ \frac{P}{V_0} = \left( F \frac{x}{t} \right) \left( \frac{1}{V_0} \right) = \frac{l^F l}{\sqrt{l^{4-F}}} \frac{1}{l^3} \\ F = \begin{cases} l_1^1 \\ l_2^2 \\ l_3^3 \\ l_4 \end{cases} \xrightarrow{\text{case where the force scales as L^2}} \\ a = \frac{F}{m} = \left[ l^F \left[ l^{-3} \right] = l^{F-3} \qquad t = \sqrt{\frac{2mx}{F}} = \sqrt{l^3 \cdot l \cdot l^{-F}} \\ \frac{P}{V_0} = \left( F \frac{x}{t} \right) \left( \frac{1}{V_0} \right) = \frac{l^F l}{\sqrt{l^{4-F}}} \frac{1}{l^3} \\ F = \begin{cases} l_1^1 \\ l_2^2 \\ l_3^3 \\ l_4^4 \end{cases} \xrightarrow{\text{case where the force scales as L^2}} \\ a = \frac{F}{m} = \left[ l^F \left[ l^{-3} \right] = l^{F-3} \qquad t = \sqrt{\frac{2mx}{F}} = \sqrt{l^3 \cdot l \cdot l^{-F}} \\ \frac{P}{V_0} = \left\{ l_1^{-2} l_1^2 \\ l_1^2 \\ l_2^2 \\ l_3 \end{array} \right\} \xrightarrow{\text{case where the force scales as L^2}} \\ F = \begin{cases} l_1^2 \\ l_1^2 \\ l_1^2 \\ l_1^2 \\ l_1^2 \\ l_2^2 \\ l_1^2 \end{array} \xrightarrow{\text{case where the force scales as L^2}} \\ t = \begin{cases} l_1^{-2} l_1^2 \\ l_1^2 \\ l_1^2 \\ l_1^2 \\ l_1^2 \\ l_2^2 \\$$

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## **Scaling Laws: Remarks**

- Even in the worst case when F = L<sup>4</sup>, the time required to perform a task remains constant when the system is scaled down
- Under more favorable force scaling (e.g. F = L<sup>2</sup>), the time required decreases as L (a system 10 times smaller can perform an operation 10 times faster i.e. small things tend to be quick)

<u>For Electrostatics</u>  $l^F = l^2$   $a = l^{-1}$   $t = l^1$   $\frac{P}{V_0} = l^{-1.0}$ 

When the force scales as F = L<sup>2</sup>, the power per unit volume scales as L<sup>-1</sup> => When the scale decreases by a factor of 10, the power that can be generated per unit volume increases by a factor of 10

# Outline

- **Some MEMS Examples** V
  - **Mixed-Domain Simulation of electrostatic MEMS and** microfluidics
    - **Techniques for interior problems (e.g. FEM)** R
    - **Techniques for exterior problems (e.g. BEM)**
    - **Algorithms**

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## **Elastostatics**

- **Finite-difference methods**
- **Finite-element methods**
- **Meshless methods**

# **Finite Element Method: Introduction**

Key steps in FEM:

- •Construct a weak or a variational form of the problem
- •Obtain an approximate solution of the variational equations through the use of finite element functions

# **1-D Example: Strong and Weak Forms**

Strong form	$u_{,xx}+f=0$	$0 \le x \le 1$	<b>(S)</b>	
	u(1) = q	Dirichlet B.C.		
	$-u_{,x}(\theta)=h$	Neumann B.C.	0	
• Trial function	$\delta = \{ u \mid$	$u \in H^1$ , $u(1) = q$		
•Test function (or weighting functions) $v = \{ w \mid w \in H^1, w(1) = 0 \}$				
• Derive <i>weak form</i>	$\int_{0}^{1} W($	$(u_{,xx}+f)dx=0$		
• Integrate by parts	$wu_{,x}\bigg _{0}^{1}-\int_{0}^{1}$	$w_{,x}u_{,x}dx + \int_{0}^{1} wfdx =$	:0	
<u>Weak form</u>	$\int_{0}^{1} w_{,x} u_{,x} dx$	$f = \int_{0}^{1} w f dx + w(0)h$	(w)	$(S) \Leftrightarrow (W)$
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### **1-D Example: Galerkin Form**

•Notations:

$$a(w,u) = \int_{0}^{\infty} w_{,x} u_{,x} dx$$

•The weak form can be rewritten as

 $(w,f) = \int_0^1 w f \, dx$ 

$$a(w,u) = (w, f) + w(0)h$$

Galerkin Approximation Method



 $w^h \in v^h$ 



Galerkin form	$a(w^h, u^h) = (w^h,$	$(f) + w^{h}(0)h$ (G)
Apply the interpolation	$w^h = \sum_{A=1}^N N_A C_A$	$\boldsymbol{u}^{h} = \sum_{B=1}^{N} N_{B} \boldsymbol{d}_{B}$
$a\left(\sum_{A=1}^{N}N\right)$	${}_{A}C_{A}, \sum_{B=1}^{N}N_{B}d_{B} = \left(\sum_{A=1}^{N}N_{B}A_{B}\right)$	$N_A C_A, f + \sum_{A=1}^N N_A(\theta) C_A h$
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### **1-D Example: Matrix Form**

$$\sum_{A=I}^{N} C_{A} \left\{ \sum_{B=I}^{N} \int_{\Omega} N_{A,x} N_{B,x} d\Omega d_{B} - \int_{\Omega} N_{A} f d\Omega - N_{A}(\theta) h \right\} = \theta$$

C<sub>A</sub>'s are arbitrary, so

$$\sum_{B=1}^{N} \int_{\Omega} N_{A,x} N_{B,x} d\Omega d_B = \int_{\Omega} N_A f d\Omega + N_A(\theta) h \qquad \text{for A=1,2, ..., N}$$

where

$$\sum_{B=1}^{N} K_{AB} d_{B} = F_{A}$$
 for A=1,2, ..., N

$$K_{AB} = \int_{\Omega} N_{A,x} N_{B,x} d\Omega \qquad F_A = \int_{\Omega} N_A f d\Omega + N_A(\theta) h$$

 $\frac{\text{The matrix form}}{Kd} = F \tag{M}$ 

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#### **1-D Example: Matrix Form**

$$K = \begin{bmatrix} K_{AB} \end{bmatrix} = \begin{bmatrix} K_{11} & K_{12} & \dots & K_{1N} \\ K_{21} & K_{22} & K_{2N} \\ \vdots & & & \\ K_{N1} & K_{N2} & & K_{NN} \end{bmatrix}$$
$$F = \{F_A\} = \begin{bmatrix} F_1 \\ F_2 \\ \vdots \\ F_N \end{bmatrix} \qquad d = \{d_A\} = \begin{bmatrix} d_1 \\ d_2 \\ \vdots \\ d_N \end{bmatrix}$$

**Remarks:** 

- K is symmetric;
- For any given problem,

$$(S) \Leftrightarrow (W) \approx (G) \Leftrightarrow (M)$$

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#### **Shape Functions**



### **Local/Element Point of View**

#### For a linear finite element

	global	local
Domain	$[x_A, x_{A+1}]$	[ξ <sub>1</sub> ,ξ <sub>2</sub> ]
Nodes	$\{x_A, x_{A+I}\}$	$\{\xi_1,\xi_2\}$
Degree of freedom	$\left\{ d_{A}, d_{A+1} \right\}$	$\{d_1, d_2\}$
Shape functions	$\{N_A, N_{A+I}\}$	$\{N_1, N_2\}$
Interpolation function	$u^{h}(x) = N_{A}(x)d_{A} + N_{A+1}(x)d_{A+1}$	$u^{h}(\xi) = N_{1}(\xi)d_{1}$ $+ N_{2}(\xi)d_{2}$

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# Mapping

Local Shape function

$$\mathbf{V}_a(\boldsymbol{\xi}) = \frac{1}{2} (1 + \boldsymbol{\xi}_a \boldsymbol{\xi})$$

$$N_1 = \frac{1}{2} (1 - \xi) \qquad \qquad N_2 = \frac{1}{2} (1 + \xi)$$

where

$$\xi(x) = \frac{2x - x_A - x_{A-1}}{h_A}$$

• Derivative of the Shape function

$$N_{a,\xi} = \frac{\xi_a}{2} = \frac{(-1)^a}{2}$$

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# **Matrix Assembly**

 $K = \sum_{e=1}^{nel} K^e \qquad K^e = \begin{bmatrix} K^e \\ AB \end{bmatrix}$  $F = \sum_{e=1}^{nel} F^e \qquad F^e = \begin{bmatrix} F^e \\ A \end{bmatrix}$ 

- Global stiffness
- Force vector

where

 $K^{e}{}_{AB} = a \left( N_{A}, N_{B} \right)^{e} = \int_{\Omega^{e}} N_{A,x} N_{B,x} dx$ 

$$F^{e}{}_{A} = (N_{A}, f)^{e} + N_{A}(\theta)h$$

where  $\Omega^e = [x^e_1, x^e_2]$  is the domain of the e-th element

$$K^{e}{}_{ab} = \int_{\Omega^{e}} N_{a,x}(x) N_{b,x}(x) dx$$
  
=  $\int_{-1}^{+1} N_{a,x}(x(\xi)) N_{b,x}(x(\xi)) x_{,\xi} d\xi = \int_{-1}^{+1} N_{a,\xi} N_{b,\xi}(x_{,\xi})^{-1} d\xi$ 

local stiffness

$$K^e = \frac{1}{h^e} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

#### **Assembly Process**

example



local stiffness

 $K^{(1)} = \frac{1}{h^{(1)}} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix} \qquad K^{(2)} = \frac{1}{h^{(2)}} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$ 

**Global stiffn** 

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# **FEM: Multidimensional Problems**

**Divergence theorem** 

**Integration by parts** 

$$\int_{\Omega} f_{,i} d\Omega = \oint_{\Gamma} f n_{i} d\Gamma$$
$$\int_{\Omega} f_{,i} g d\Omega = \oint_{\Gamma} g f n_{i} d\Gamma - \int_{\Omega} f g_{,i} d\Omega$$

Heat Conduction

Strong form: given  $f: \Omega \to \Re$ ,  $g: \Gamma_g \to \Re$ ,  $h: \Gamma_h \to \Re$ 

find  $u: \Omega \to \Re$  such that

 $q_{i,i} = f \quad in \Omega$ u = g on  $\Gamma_g$  $-q_i n_i = h \quad on \Gamma_h$ 

where

 $q_i = -K_{ij}u_{,j}$   $K_{ij}$  are the conductivities

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### **Weak Form: Heat Conduction Problem**

$$\int_{\Omega} w(q_{i,i}-f) d\Omega = 0$$

$$\int_{\Gamma} w q_i n_i d\Gamma - \int_{\Omega} w_{,i} q_i d\Omega - \int_{\Omega} w f d\Omega = 0$$

Apply the property of the weighting function

 $w = 0 \quad on \Gamma_g$ 

$$-\int_{\Omega} w_{,i} q_{i} d\Omega = \int_{\Omega} w f d\Omega + \int_{\Gamma_{h}} w h d\Gamma$$

Notation:

$$a(w,u) = \int_{\Omega} w_{,i} K_{ij} u_{,j} d\Omega$$

$$(w, f) = \int_{\Omega} wf d\Omega$$
  $(w, h)_{\Gamma} = \int_{\Gamma_h} wh d\Gamma$ 

• Weak form

$$a(w,u)=(w,f)+(w,h)_{\Gamma_h}$$

Galerkin form

$$a(w^{h}, u^{h}) = (w^{h}, f) + (w^{h}, h)_{\Gamma_{h}}$$

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## **Heat Conduction Problem: Matrix Form**

Substitute the interpolation

$$w^{h} = \sum_{A=1}^{N} N_{A} C_{A} \qquad u^{h} = \sum_{B=1}^{N} N_{B} d_{B}$$

$$a\left(\sum_{A=1}^{N}N_{A}C_{A},\sum_{B=1}^{N}N_{B}d_{B}\right)=\left(\sum_{A=1}^{N}N_{A}C_{A},f\right)+\left(\sum_{A=1}^{N}N_{A}C_{A},h\right)_{\Gamma}$$

$$\sum_{B=1}^{N} a(N_A, N_B) d_B = (N_A, f) + (N_A, h)_{\Gamma}$$

• Matrix form

$$Kd = F$$

where

$$K_{AB} = a(N_A, N_B)$$
$$F_A = (N_A, f) + (N_A, h)_{II}$$

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#### **FEM for Elastostatics**

**Strong form:** given  $f_i$ ,  $g_i$  and  $h_i$ , find  $u_i$  such that

$$\sigma_{ij,j} + f_i = 0 \quad in \Omega$$
$$u_i = g_i \quad on \Gamma_{gi}$$
$$\sigma_{ij}n_j = h_i \quad on \Gamma_h$$

The stress is related to the strain by Hooke's law

$$\sigma_{ij} = c_{ijkl} \varepsilon_{kl}$$

Strain tensor

$$\varepsilon_{ij} = \frac{u_{i,j} + u_{j,i}}{2} = u(i, j)$$

$$\int_{\Omega} w_i (\sigma_{ij,j} + f_i) d\Omega = -\int_{\Omega} w_{i,j} \sigma_{ij} d\Omega + \int_{\Gamma} w_i \sigma_{ij} n_j d\Gamma + \int_{\Omega} w_i f_i d\Omega = 0$$

• Weak form

$$\int_{\Omega} w(i,j) \sigma_{ij} d\Omega = \int_{\Omega} w_i f_i d\Omega + \sum_{i=1}^{nsd} \left( \int_{\Gamma} w_i h_i d\Gamma \right)$$

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### **FEM for Elastostatics**

 $w_{i,i}\sigma_{ii} = w(i,j)\sigma_{ii}$ Why  $W_{i,j} = W(i,j) + W[i,j]$ rewrite  $w(i, j) = \frac{W_{i,j} + W_{j,i}}{2}$  (symmetric) where  $w[i, j] = \frac{w_{i,j} - w_{j,i}}{2}$  (skew symmetric)  $W_{i,j}\sigma_{ij} = (w(i,j) + w[i,j])\sigma_{ij}$ since  $= w(i, j)\sigma_{ii} + w[i, j]\sigma_{ii}$  $w[i, j]\sigma_{ii} = -w[j, i]\sigma_{ii} = -w[j, i]\sigma_{ii} = -w[i, j]\sigma_{ii}$ and  $w[i, j]\sigma_{ii} = 0$ So  $w_{i,i}\sigma_{ii} = w(i,j)\sigma_{ii}$ 

## **FEM for Elastostatics**

Notation:

$$a(w,u) = \int_{\Omega} w(i,j) c_{ijkl} u(k,l) d\Omega$$

$$(w, f) = \int_{\Omega} w_i f_i d\Omega$$
  $(w, h)_{\Gamma} = \sum_{i=1}^{nsd} \left( \int_{\Gamma_{hi}} w_i h_i d\Gamma \right)$ 

• Weak form

$$a(w,u) = (w, f) + (w, h)_{\Gamma}$$
 for all  $w \in v$ 

• Galerkin form

$$a(w^h, u^h) = (w^h, f) + (w^h, h)_{\Gamma}$$

$$u_i^h = \sum_{A=1}^n N_A d_{iA}$$
  $i = 1,2,3 ( 3 dof' s)$ 

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Matrix form

Kd = F

### **Bilinear Quadrilateral Element**



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#### **Shape Functions**

Shape functions

$$N_{1}(\xi,\eta) = \frac{1}{4}(1-\xi)(1-\eta)$$
$$N_{2}(\xi,\eta) = \frac{1}{4}(1+\xi)(1-\eta)$$
$$N_{3}(\xi,\eta) = \frac{1}{4}(1+\xi)(1+\eta)$$
$$N_{4}(\xi,\eta) = \frac{1}{4}(1-\xi)(1+\eta)$$

Property of the shape function

$$\sum_{a=1}^{nen} N_a(\xi,\eta) = \frac{1}{4} (1-\xi)(1-\eta) + \frac{1}{4} (1+\xi)(1-\eta) + \frac{1}{4} (1+\xi)(1+\eta) + \frac{1}{4} (1-\xi)(1+\eta)$$
$$= 1$$

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### **Numerical Integration**

Numerical integration

$$\int_{-1}^{+1} g(\xi) d\xi = \sum_{l}^{n_{\text{int}}} g(\xi_{l}) w_{l} + R \cong \sum_{l}^{n_{\text{int}}} g(\xi_{l}) w_{l}$$

• Trapezoidal rule

$$n_{int} = 2$$
  

$$\overline{\xi}_{1} = -1$$
  

$$\overline{\xi}_{2} = +1$$
  

$$R = -\frac{2}{3}g_{,\xi\xi}(\overline{\xi})$$

 $w_1 = w_3 = \frac{1}{3}$ 

 $w_2 = \frac{4}{3}$ 

 $R = -\frac{1}{90} g^{(4)} \left(\overline{\xi}\right)$ 

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• Simpson's rule

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 $n_{\rm int} = 3$ 

 $\overline{\xi}_1 = -1$ 

 $\overline{\xi}_2 = 0$ 

 $\overline{\xi}_3 = 1$ 

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#### **Gaussian Quadrature Rules**


### **Gaussian Quadrature Rules in 2-D**

$$\int_{-1}^{+1} \int_{-1}^{+1} g(\xi, \eta) d\xi d\eta \cong \int_{-1}^{+1} \left\{ \sum_{l^{(1)}=1}^{n_{int}^{(1)}} g(\overline{\xi}_{l^{(1)}}^{(1)}, \eta) w_{l^{(1)}}^{(1)} \right\} d\eta$$
$$\cong \sum_{l^{(1)}=1}^{n_{int}^{(1)}} \sum_{l^{(1)}=1} g(\overline{\xi}_{l^{(1)}}^{(1)}, \overline{\eta}_{l^{(2)}}^{(2)}) w_{l^{(1)}}^{(1)} w_{l^{(2)}}^{(2)}$$



examples

$$\int_{-1}^{+1} \int_{-1}^{+1} g(\xi, \eta) d\xi d\eta = 4g(0, 0)$$

$$\int_{-1}^{+1} \int_{-1}^{+1} g(\xi, \eta) d\xi d\eta = g\left(-\frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}\right) + g\left(\frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}\right) + g\left(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}\right) + g\left(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}\right)$$

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### **Finite Deformation Elastodynamics**



**Transformation function**  $\mathbf{X} = \boldsymbol{\varphi}(\mathbf{X})$ 

 $d\mathbf{x} = \mathbf{F}d\mathbf{X}$ 

Deformation gradientVolume transformationdv = JdV $\mathbf{F} = D \phi(\mathbf{X}) = \begin{bmatrix} \frac{\partial \varphi_1}{\partial X_1} & \frac{\partial \varphi_1}{\partial X_2} & \frac{\partial \varphi_1}{\partial X_3} \\ \frac{\partial \varphi_2}{\partial X_1} & \frac{\partial \varphi_2}{\partial X_2} & \frac{\partial \varphi_2}{\partial X_3} \\ \frac{\partial \varphi_3}{\partial X_1} & \frac{\partial \varphi_3}{\partial X_2} & \frac{\partial \varphi_3}{\partial X_3} \end{bmatrix}$ Area transformationdv = JdV $\mathbf{F} = D \phi(\mathbf{X}) = \begin{bmatrix} \frac{\partial \varphi_1}{\partial X_1} & \frac{\partial \varphi_2}{\partial X_2} & \frac{\partial \varphi_2}{\partial X_3} \\ \frac{\partial \varphi_3}{\partial X_1} & \frac{\partial \varphi_3}{\partial X_2} & \frac{\partial \varphi_3}{\partial X_3} \end{bmatrix}$ Density transformation $\rho = \frac{1}{J} \rho_0$  $\mathbf{V} = 0$  $\mathbf{V} = \frac{\partial \phi}{\partial t}$  $\mathbf{V} = \frac{\partial \phi}{\partial t}$  $J(\phi) = det[\mathbf{F}]$ Cauchy stress $\sigma = \frac{1}{J} \mathbf{P} \mathbf{F}^T$ 

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#### **Elastodynamics: Governing Equations**

**Strong form:** 

$$\rho_0 \frac{\partial^2 \mathbf{\varphi}}{\partial t^2} = Div [\mathbf{P}] + \rho_0 \mathbf{B}$$

where

P=FS

- $\mathbf{S} = \mathbf{C} \mathbf{E}$   $\mathbf{C} = \mathbf{F}^T \mathbf{F}$   $\mathbf{E} = \frac{1}{2} [\mathbf{C} \mathbf{I}]$
- Boundary conditions:  $\boldsymbol{\varphi} = \boldsymbol{g} \quad \text{on } \boldsymbol{\Gamma}_g \quad \text{at } [0, T]$   $P_{iA} n_A = h_i \quad \text{on } \boldsymbol{\Gamma}_{h_i} \text{ at } [0, T]$

• Initial conditions:

 $\boldsymbol{\varphi}\big|_{t=0} = \boldsymbol{\varphi}^0 \text{ in } \boldsymbol{\Omega}$  $\mathbf{V}\big|_{t=0} = \mathbf{V}^{(0)} \text{ in } \boldsymbol{\Omega}$ 

#### **FEM for Elastodynamics**

• Weak form:

$$G(\boldsymbol{\varphi},\boldsymbol{\eta}) = \int_{\Omega} Grad[\boldsymbol{\eta}] : [D\boldsymbol{\varphi} \mathbf{S}(\mathbf{E}(\boldsymbol{\varphi}))] dV - \int_{\Omega} \rho_0 \mathbf{B} \cdot \boldsymbol{\eta} dV - \int_{\Gamma_h} \boldsymbol{\eta} \cdot \mathbf{h} d\Gamma = 0$$

• Galerkin form:

$$G(\mathbf{\varphi}^{h},\mathbf{\eta}^{h}) = \int_{\Omega} Grad[\mathbf{\eta}^{h}] : [D\mathbf{\varphi}^{h} \mathbf{S}^{h}] dV - \int_{\Omega} \rho_{0} \mathbf{B} \cdot \mathbf{\eta}^{h} dV - \int_{\Gamma_{h}} \mathbf{\eta}^{h} \cdot \mathbf{h} d\Gamma = 0$$

Nonlinear equations:

$$\mathcal{F}^{\mathrm{int}}(\mathbf{d}) - \mathcal{F}^{ext} = 0$$

Where

$$\mathcal{F}^{\text{int}}(\mathbf{d}) = \int_{\Omega} \mathcal{B}^T \widehat{\mathbf{S}} dV \qquad \qquad \mathcal{F}^{ext} = \int_{\Omega} \rho_0 \mathbf{B} \cdot \mathbf{N} dV + \int_{\Gamma_h} \mathbf{h} \cdot \mathbf{N} d\Gamma$$

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## **Solution of Nonlinear Systems: Newton Methods**

The scalar problem: r(d) is a scalar nonlinear function of d. Find  $\overline{d}$  such that  $r(\overline{d}) = 0$ 



#### **Newton's Method**

#### Solution strategy:

Guess a "good"  $d_0$  close to the solution;  $r(\overline{d}) = r(d_0) + \frac{d}{d\varepsilon} r(d_0 + \varepsilon \Delta d)|_{\varepsilon=0} + \frac{1}{2!} \frac{d^2}{d\varepsilon^2} r(d_0 + \varepsilon \Delta d)|_{\varepsilon=0} + \dots$  $r(\overline{d}) \cong r(d_0) + \frac{d}{d\varepsilon} r(d_0 + \varepsilon \Delta d)|_{\varepsilon = 0}$  $= r(d_0) + K(d_0) \Delta d = 0$  $d_1 = d_0 + \Delta d$  $d_1$  $d_0$ d Geometric interpretation University of Illinois at Urbana-Champaign **Computational MEMS/NEMS Beckman Institute** 

### **Algorithm: Newton's Method**

$$i = 0$$
  

$$d_i = d_0$$
  
for  $i = 1, 2, \dots$  until convergence  
if  $|r(d_i)| < tol$   
 $\overline{d} = d_i$   
else  

$$\Delta d = -\frac{r(d_i)}{r'(d_i)}$$
  
 $d_{i+1} = d_i + \Delta d$   
end if  
end for

The error at the i-th iteration is given by  $e^{(i)} = d_i - \overline{d}$ 

if  $|e^{(i+1)}| \le C |e^{(i)}|^k$  then we say the algorithm converges with order k.

Newton's method has quadratic convergence, i.e. k=2

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# **Modified Newton's Method**

#### Advantages of Newton's method

• Optimal (quadratic) convergence close to solution

#### **Disadvantages of Newton's method**

- Poor or no convergence far away from the solution;
- Computation of  $K(d_i)$  is very expensive in the general multidimensional case (K ( $d_i$ ) is a matrix).

#### Algorithm: modified Newton's method

$$i = 0$$
  

$$d_i = d_0$$
  
for  $i = 1, 2, \dots$  until convergence  
if  $|r(d_i)| < tol$   

$$\overline{d} = d_i$$
  
else  

$$\Delta d = -\frac{r(d_i)}{r'(d_0)}$$
  

$$d_{i+1} = d_i + \Delta d$$
  
end if  
end

# Newton Method: Multidimensional Case

Let  $\underline{R}(\underline{d})$  be a n-dimensional vector valued nonlinear function of the ndimensional vector  $\underline{d}$  • Directional or Frechet derivative

$$R_1 = \widehat{R}_1(d_1, d_2, \dots, d_n)$$

$$R_2 = \widehat{R}_2(d_1, d_2, \dots, d_n)$$

$$\vdots$$

$$R_n = \widehat{R}_n(d_1, d_2, \dots, d_n)$$

$$\frac{d}{d\varepsilon} \underline{R} (\underline{d} + \varepsilon \underline{u}) \Big|_{\varepsilon=0} = \nabla \underline{R} \underline{u}$$

$$= \begin{bmatrix} \frac{\partial R_1}{\partial d_1} & \frac{\partial R_1}{\partial d_2} & \cdots & \frac{\partial R_1}{\partial d_n} \\ \vdots & \ddots & \vdots \\ \vdots & \ddots & \vdots \\ \frac{\partial R_n}{\partial d_1} & \frac{\partial R_n}{\partial d_n} & \cdots & \frac{\partial R_n}{\partial d_n} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{bmatrix}$$

Similar to the scalar case

$$\underline{R}(\underline{d}) = \underline{R}(\underline{d}_0) + \frac{d}{d\varepsilon} \underline{R}(\underline{d}_0 + \varepsilon \Delta \underline{d})_{\varepsilon=0} + \frac{1}{2!} \frac{d^2}{d\varepsilon^2} \underline{R}(\underline{d}_0 + \varepsilon \Delta \underline{d})_{\varepsilon=0} + \dots$$

$$\cong \underline{R}(d_0) + \frac{d}{d\varepsilon} \underline{R}(\underline{d}_0 + \varepsilon \Delta \underline{d})_{\varepsilon=0}$$

$$= \underline{R}(d_0) + \underline{K}_T(\underline{d}_0) \Delta \underline{d} = 0 \qquad \underline{K}_T(\underline{d}_0) \text{ is called the tangent stiffness matrix}$$

# **Newton-Raphson Method: Algorithm**

$$n = 0$$
step loop ( load stepping e.g)
$$i = 0$$

$$\underline{d}^{(i)} = \underline{d}_{n}$$
do (iteration loop)
$$\underline{K}_{T} (\underline{d}^{(i)}) \Delta \underline{d}^{(i)} = -\underline{R}^{(i)}$$

$$:= \underline{F}_{n+1}^{ext} - \underline{F}_{n+1}^{int} (\underline{d}^{(i)})$$

$$\underline{d}^{(i+1)} = \underline{d}^{(i)} + \Delta \underline{d}^{(i)}$$

$$i = i + 1$$
until  $\|\underline{R}^{(i+1)}\| < \varepsilon \|\underline{R}^{(i)}\|$ 

$$\underline{d}_{n+1} = \underline{d}^{(i)}$$

$$n \leftarrow n + 1$$

#### **Remarks:**

- The load step is needed since the method might not converge if the entire load is applied at once. Instead the load is applied incrementally. Each increment is converged before the next step is applied.
- Likewise one might have to apply the "g" b.c.'s incrementally. This method is called "displacement control".
- In practice, the terminology "Newton-Raphson method" is often used to denote algorithms in which a new left hand size matrix is formed for each iteration. If KT is not updated in each iteration, but kept frozen for a couple of iterations, the term "modified Newton" method is used.

# Outline

- **Some MEMS Examples** V
  - **Mixed-Domain Simulation of electrostatic MEMS and** microfluidics
    - **Techniques for interior problems (e.g. FEM)** V
    - **Techniques for exterior problems (e.g. BEM)** B
    - **Algorithms**

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#### **BEM - Introduction**

#### **What is Boundary Element Method ?**

- Boundary discretization only
- Integral based method





Analysis of a turbine blade using FEM and BEM

# Approaches available for solving boundary integral equations

- **BEM based on Collocation**
- BEM based on Galerkin

# **Comparison of FEM and BEM**

FEM	BEM
Local approach	Global approach, Integral based
Domain mesh (2D/3D)	Boundary mesh (1D/2D)
Symmetric, sparse and large matrices	Unsymmetric, dense and smaller matrices
Lot of commercial packages available	Fewer packages available

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# Table 1

<b>Problems</b> $(\nabla^2 \phi = \theta)$	Scalar function ( \$ )	<b>Dirichlet</b> <b>b.c.</b> $(\phi = \overline{\phi})$	<b>Neumann</b> <b>b.c.</b> $(K\frac{\partial \phi}{\partial n} = \overline{q})$	Constant (K)
Heat Transfer	Temperature $(T \equiv Deg.)$	$(T=\overline{T})$	Heat flow $(-\lambda \frac{\partial T}{\partial n} = \overline{q})$	Thermal conductivity(λ)
Elastic torsion	Warping function ( $\psi$ )		$(r \cos(r,t) = \overline{q})$	t
Ideal fluid flow	Stream function $(\phi \equiv m^2 s^{-1})$	$(\phi = \overline{\phi})$	$\left(\frac{\partial \phi}{\partial n} = \overline{q}\right)$	
Electrostatic	Field potential ( $V \equiv volt$ )	$(V = \overline{V})$	Electric flow $\left(-\varepsilon \frac{\partial V}{\partial n} = \overline{q}\right)$	Permittivity (ε)
Electric conduction	Electro- potential ( $E \equiv volt$ )	$(E = \overline{E})$	Electric $(\frac{1}{k}\frac{\partial E}{\partial n} = \bar{q})$	Resistivity (k)

Laplace equation represents many problems in engineering (Table 1)



 $\nabla^2 T = \theta$  inside Temperature 'T' known on the surface Interior problem

 $\nabla^2 \phi = \theta$  outside Potential  $\phi$  known on the surface Exterior problem

**BEM is based on the Second Theorem of Green** 

#### Problem definition



Governing equation:

 $\nabla^{2} \phi = p(x) \qquad x \in \Omega$  $p(x) \begin{cases} = 0; Laplace \\ \neq 0; Poisson \end{cases}$ 

Dirichlet boundary condition (b.c):

$$\phi(y) = \overline{\phi}(y) \qquad y \in \Gamma_u$$

Neumann boundary condition (b.c):

$$\frac{\partial \phi(x)}{\partial n}\Big|_{x=y} = \overline{q}(y) \qquad y \in \Gamma_n$$

**Derivation of BIE**: Multiplying  $(\nabla^2 \phi - p)$  with  $\phi^*$  and integrating over  $\Omega$ 

$$\int_{\Omega} \left( \nabla^2 \phi - p \right) \phi^* d\Omega = 0 \qquad \dots \qquad (1)$$

Integrating by parts we get (2D case),

$$\int_{\Gamma} \phi^* \nabla \phi \cdot n d\Gamma - \int_{\Omega} \left( \nabla \phi \cdot \nabla \phi^* + p \phi^* \right) d\Omega + = 0$$

Integrating by parts the second integral we get,

$$\int_{\Gamma} \phi^* \nabla \phi \cdot n d\Gamma - \int_{\Gamma} \phi \nabla \phi^* \cdot n d\Gamma + \int_{\Omega} (\phi \nabla^2 \phi^* - p \phi^*) d\Omega = \theta$$

where *n* is the outward normal to the boundary  $\Gamma$ 

Therefore, Equation (1) can be written as,

$$\int_{\Omega} \left( \nabla^2 \phi - p \right) \phi^* d\Omega = \int_{\Omega} \left( \phi \nabla^2 \phi^* - p \phi^* \right) d\Omega + \int_{\Gamma} \phi^* \frac{\partial \phi}{\partial n} d\Gamma - \int_{\Gamma} \phi \frac{\partial \phi^*}{\partial n} d\Gamma = 0$$

$$\Rightarrow \int_{\Omega} \left[ \left( \nabla^2 \phi \right) \phi^* - \left( \nabla^2 \phi^* \right) \phi \right] d\Omega = \int_{\Gamma} \left( \phi^* \frac{\partial \phi}{\partial n} - \phi \frac{\partial \phi^*}{\partial n} \right) d\Gamma \qquad \dots (2)$$

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 $\phi^*$  is the Fundamental Solution of Laplace equation.

**Fundamental Solution \phi** \* **for Laplace equation :** 

satisfies Laplace equation

represents field generated by a concentrated unit charge acting at a point 'i'

 $\succ$  effect of this charge is propagated from '*i*' to infinity

 $\nabla^2 \phi^* + \delta(i, j) = 0$   $\delta(i, j) = \text{Dirac Delta function}$ 

Multiplying with  $\phi$  and integrating we get,

$$\int_{\Omega} \phi \left( \nabla^2 \phi^* \right) d\Omega = \int_{\Omega} \phi \left( -\delta \left( i, j \right) \right) d\Omega = -\phi^i$$

Therefore,

$$\phi^{i} + \int_{\Gamma} \phi\left(\frac{\partial \phi^{*}}{\partial n}\right) d\Gamma = \int_{\Gamma} \left(\frac{\partial \phi}{\partial n}\right) \phi^{*} d\Gamma$$

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... (3)

#### **Fundamental Solutions : One Dimensional Equations**

	Equation	Fundamental Solution
Laplace	$\nabla^2 \phi^* + \delta_\theta = \theta$	$\phi^* = \frac{r}{2}, r =  x $
Helmholtz	$\nabla^2 \phi^* + \lambda^2 \phi^* + \delta_\theta = \theta$	$\phi^* = -\frac{1}{2\lambda} \sin(\lambda r)$
Wave Equation	$c^{2}\nabla^{2}\phi^{*} - \frac{\partial^{2}\phi^{*}}{\partial t^{2}} + \delta_{\theta}\delta(t) = \theta$	$\phi^* = \frac{1}{2c} H(ct - r)$ H=Heaviside function
Diffusion Equation	$\nabla^2 \phi^* - \frac{1}{k} \frac{\partial \phi^*}{\partial t^2} + \delta_\theta \delta(t) = \theta$	$\phi^* = \frac{-H(t)}{\sqrt{4\pi kt}} exp\left(\frac{-r^2}{4kt}\right)$
Convection/decay Equation	$\frac{\partial \phi^*}{\partial t} + \overline{\phi} \frac{\partial \phi^*}{\partial x} + \beta \phi^* + \delta_{\theta} \delta(t) = \theta$	$\phi^* = -e^{-\beta \frac{r}{\overline{\phi}}} \delta\left(t - \frac{r}{\phi}\right)$

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#### **Fundamental Solutions : Two Dimensional Equations**

	Equation	Fundamental Solution
Laplace	$\nabla^2 \phi^* + \delta_{\theta} = \theta$	$\phi^* = \frac{1}{2\pi} ln\left(\frac{1}{r}\right), r = \sqrt{x_1^2 + x_2^2}$
Helmholtz	$\nabla^2 \phi^* + \lambda^2 \phi^* + \delta_0 = 0$	$\phi^* = \frac{1}{4i} H_0^{(2)} (\lambda r)$ $H_0 = \text{Hankel function}$
D'Arcy (orthotropic case)	$k_{1} \frac{d^{2} \phi^{*}}{dx_{1}^{2}} + k_{2} \frac{d^{2} \phi^{*}}{dx_{2}^{2}} + \delta_{\theta} = 0$	$\phi^* = -\frac{1}{\sqrt{k_1 k_2}} \frac{1}{2\pi} ln \left[ \left( \frac{x_1^2}{k_1} + \frac{x_2^2}{k_2} \right)^{\frac{1}{2}} \right]$
Wave Equation	$c^{2}\nabla^{2}\phi^{*} - \frac{\partial^{2}\phi^{*}}{\partial t^{2}} + \delta_{\theta}\delta(t) = \theta$	$\phi^* = -\frac{H(ct - r)}{2\pi c (c^2 t^2 - r^2)}$
Plate Equation	$\left(\frac{\partial^2}{\partial t^2} - \mu^2 \nabla^4\right) \phi^* + \delta_{\theta} \delta(t) = \theta$	$\phi^* = + \frac{H(t)}{4\pi\mu} S_i \left(\frac{r}{4\pi t}\right)$ S <sub>i</sub> = Integral sine function
Navier's Equation	$\frac{\partial \sigma_{jk}}{\partial x_{j}} + \delta_{l} = 0$	$\phi_k^* = U_{lk}^* e_l$
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#### **Fundamental Solutions : Three Dimensional Equations**

	Equation	Fundamental Solution
Laplace	$\nabla^2 \phi^* + \delta_{\theta} = \theta$	$\phi^* = \frac{1}{4\pi r}, r = \sqrt{x_1^2 + x_2^2 + x_3^2}$
Helmholtz	$\nabla^2 \phi^* + \lambda^2 \phi^* + \delta_\theta = \theta$	$\phi^* = \frac{1}{4\pi r} e^{-i\lambda r}$
D'Arcy	$k_{1}\frac{d^{2}\phi^{*}}{dx_{1}^{2}} + k_{2}\frac{d^{2}\phi^{*}}{dx_{2}^{2}} + k_{3}\frac{d^{2}\phi^{*}}{dx_{3}^{2}} + \delta_{\theta} = 0$	$\phi^* = -\frac{1}{\sqrt{k_1 k_2 k_3}} \frac{1}{4\pi} \left( \frac{x_1^2}{k_1} + \frac{x_2^2}{k_2} + \frac{x_3^2}{k_3} \right)^{-\frac{1}{2}}$
Wave Equation	$c^{2}\nabla^{2}\phi^{*} - \frac{\partial^{2}\phi^{*}}{\partial t^{2}} + \delta_{\theta}\delta(t) = \theta$	$\phi^* = \frac{\delta\left(t - \frac{r}{c}\right)}{4\pi r}$
Navier's Equation (Isotropic homogenous)	$\frac{\partial \sigma_{jk}}{\partial x_{j}}^{*} + \delta_{l} = 0$	$\phi_k^* = U_{lk}^* e_l$
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Consider equation (3) before any boundary conditions have been applied,

$$\phi^{i} + \int_{\Gamma} \phi\left(\frac{\partial \phi^{*}}{\partial n}\right) d\Gamma = \int_{\Gamma} \phi^{*}\left(\frac{\partial \phi}{\partial n}\right) d\Gamma$$

- RHS integral easy to deal (lower order singularity),

$$\lim_{\varepsilon \to 0} \left\{ \int_{\Gamma_{\varepsilon}} \frac{\partial \phi}{\partial n} \phi^* d\Gamma \right\} = \lim_{\varepsilon \to 0} \left\{ \int_{\Gamma_{\varepsilon}} \frac{\partial \phi}{\partial n} \frac{1}{4 \pi \varepsilon} d\Gamma \right\} = \lim_{\varepsilon \to 0} \left\{ \frac{\partial \phi}{\partial n} \frac{2 \pi \varepsilon^2}{4 \pi \varepsilon} \right\} \equiv 0$$

- LHS integral behaves as,

$$\lim_{\varepsilon \to 0} \left\{ \int_{\Gamma_{\varepsilon}} \phi \, \frac{\partial \phi^*}{\partial n} d\Gamma \right\} = \lim_{\varepsilon \to 0} \left\{ -\int_{\Gamma_{\varepsilon}} \phi \, \frac{1}{4 \, \pi \varepsilon^2} d\Gamma \right\} = \lim_{\varepsilon \to 0} \left\{ -\phi \, \frac{2 \, \pi \varepsilon^2}{4 \, \pi \varepsilon^2} \right\} = -\frac{1}{2} \phi^{i}$$

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#### Therefore,

$$c\phi^{i} + \int_{\Gamma} \phi\left(\frac{\partial\phi^{*}}{\partial n}\right) d\Gamma = \int_{\Gamma} \phi^{*}\left(\frac{\partial\phi}{\partial n}\right) d\Gamma \qquad \cdots \qquad (4)$$

 $c = \frac{1}{2}$ , for smooth boundaries

 $c = \frac{\theta}{2\pi}$  for corner points



**Boundary with corner point** 

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#### **Boundary Integral Formulation (contd.)**



Potential known on the surface of each conductor

For 3D Electrostatic problem the boundary integral equation is,

$$\phi^{i} = \sum_{j=1}^{N_{c}} \int_{\Gamma_{j}} \frac{\partial \phi}{\partial n} \phi^{*} d\Gamma$$

Equation (4) is discretized to find system of equations

#### Boundary is divided into N elements



Discretized form of equation (3) at point 'i' is given as,

$$c\phi^{i} + \sum_{j=1}^{N} \int_{\Gamma_{j}} \phi \frac{\partial \phi^{*}}{\partial n} d\Gamma = \sum_{j=1}^{N} \int_{\Gamma_{j}} \frac{\partial \phi}{\partial n} \phi^{*} d\Gamma$$

In matrix form,

$$[H]{\Phi} = [G]{\left\{\frac{\partial \Phi}{\partial n}\right\}}$$

where  $H^{ij}$  and  $G^{ij}$  are the influence coefficients given as,

*'i'* is the source point (where fundamental solution is acting) 'j' is the field point (any other nodes on the boundary)

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#### **Constant Elements:**

- $\rightarrow \phi$  and  $\phi^*$  are assumed to be constant over each element
- > The value of  $\phi$  and  $\phi^*$  is assumed equal to that at mid-element node

The influence coefficients,  $H^{ij}$  and  $G^{ij}$  are given as,

$$H^{ij} = \frac{1}{2} \delta(i, j) + \int_{\Gamma_j} \frac{\partial \phi^*}{\partial n} d\Gamma$$
$$G^{ij} = \int_{\Gamma_j} \phi^* d\Gamma$$



*'i'* is the source point (where fundamental solution is acting) *'j'* is the field point (any other nodes on the boundary)

#### **Evaluation of integrals:**

For the case i = j,  $H^{ij}$  and  $G^{ij}$  can be calculated numerically, for the case  $i \neq j$ For the case i = j,  $H^{ij}$  and  $G^{ij}$  are evaluated analytically



#### Linear Elements:

 $\rightarrow \phi$  and  $\phi^*$  are assumed to vary linearly over each element



Putting all the unknowns on LHS we get,

$$[A]{x} = {F}$$

#### Note: A is a dense matrix



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## **Fast Integral Equation Solver**



# **Fast Integral Equation Solver**



#### Matrix-Vector multiplication: $O(N(logN)^2)$ Storage: $O(N(logN)^2)$

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## **Fast Integral Equation Solver**

#### **Results : Comb-Drive Problem**



#### References

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# Outline

- **Some MEMS Examples** V
  - **Mixed-Domain Simulation of electrostatic MEMS and** microfluidics
    - **Techniques for interior problems (e.g. FEM)** V
    - **Techniques for exterior problems (e.g. BEM)** V
    - **Algorithms** B

# **Coupled Electromechanical Analysis**

- We need to self-consistently solve the coupled electrical and mechanical equations to compute the equilibrium displacements and forces. Three approaches
  - Relaxation technique
  - Full-Newton method
  - Multi-level Newton method
- Solution of elastostatic equations is represented by

 $u = R_M(P(q))$ 

Solution of electrostatic equations is represented by

$$q=R_E(u,V)$$

# **Relaxation Technique**

Simplest black-box approach

Data is passed back and forth between black-box electrostatic and elastostatic analysis programs until a converged solution is obtained

> $k = 1; u^{k} = 0$ Repeat Compute  $q^{k} = R_{E}(u^{k})$ Compute  $u^{(k+1)} = R_{M}(P(q^{k}))$  k = k + 1Until  $||u^{k} - u^{k+1}|| \le \varepsilon ||q^{k} - q^{k+1}|| \le \varepsilon$

# **Relaxation Technique**

- Advantages
  - Very quick implementation based on black-boxes
  - Existing mechanical and electrical solvers can be used

#### Disadvantages

Fails to converge for strong coupling between electrical and mechanical domains

## **Multi-Level Newton Algorithm**

Matrix-free approaches: Matrix-vector product involving a Jacobian and a vector can be computed as

$$\frac{\partial R}{\partial u}\Delta u = \frac{R(u+\varepsilon\Delta u)-R(u)}{\varepsilon}$$

Define a new residual

$$R(u,q) = \begin{cases} q - R_E(u) \\ u - R_M(q) \end{cases}$$

The Jacobian of the residual is given by

$$J(u,q) = \begin{bmatrix} \frac{\partial R_1}{\partial q} & \frac{\partial R_1}{\partial u} \\ \frac{\partial R_2}{\partial q} & \frac{\partial R_2}{\partial u} \end{bmatrix} = \begin{bmatrix} I & -\frac{\partial R_E}{\partial u} \\ -\frac{\partial R_M}{\partial q} & I \end{bmatrix}$$
  
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# **Multi-Level Newton Algorithm**

$$k = 1; u^{k} = 0; q^{k} = 0$$
 use an iterative solver  
Repeat  
solve  

$$J(u^{k}, q^{k}) \left\{ \begin{array}{l} \delta q \\ \delta u \end{array} \right\} = -R(u^{k}, q^{k})$$
set  $u^{k+1} = u^{k} + \delta u$   
set  $q^{k+1} = q^{k} + \delta q$   
 $k = k + 1$   
until  $\|u^{k} - u^{k+1}\| \le \varepsilon$   $\|q^{k} - q^{k+1}\| \le \varepsilon$ 

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# **Iterative Solution of Linear Systems**

**Key steps in GMRES algorithm** make an initial guess to the solution,  $q_0$ set k = 0do { compute the residual,  $r^{k} = \overline{p} - Pq^{k}$ if  $|\mathbf{r}^k| \leq tol$ , return  $q^k$  as the solution else { choose  $\alpha's$  and  $\beta$  in  $q^{k+1} = \sum_{j=0}^{k} \alpha_j q^j + \beta r^k$ to minimize  $\|r^{k+1}\|$ set k = k+1

Lets say we need to solve  $Pq = \overline{p}$ 

### **Multi-Level Newton Algorithm**

$$\frac{\partial R}{\partial u} * r = \frac{R(u + \theta * r) - R(u)}{\theta}$$
$$\theta = sign(u * r) * a \frac{\|u\|}{\|r\|}$$
$$a \in (0.01, 0.5)$$

$$J(u,q) \begin{cases} \delta q \\ \delta u \end{cases} = \begin{bmatrix} I & -\frac{\partial R_E}{\partial u} \\ -\frac{\partial R_M}{\partial q} & I \end{bmatrix} \begin{cases} \delta q \\ \delta u \end{bmatrix} = \begin{cases} \delta q - \frac{1}{\theta} [R_E(u + \theta \delta u) - R_E(u)] \\ \delta u - \frac{1}{\theta} [R_M(q + \theta \delta q) - R_M(q)] \end{bmatrix}$$

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# **Multi-Level Newton Algorithm**

### Advantages

- Black box based approach
- Superior global convergence

#### Disadvantages

Can be sensitive to the choice of the matrix-free parameter

## **Full-Newton Technique**

**Represent the mechanical and electrical equations as**  $R_{M}(u,q) = f^{int}(u) - f^{ext}(q) = 0$  $R_{E}(u,q) = P(u)q - V = 0$ Let  $\overline{u}$  and  $\overline{q}$  be self-consistent solutions  $R_{M}(\overline{u},\overline{q})=0$  $R_{F}(\overline{u},\overline{q})=0$ Let  $u_0$  and  $q_0$  be some initial guess  $R_{M}(\overline{u},\overline{q}) = R_{M}(u_{0},q_{0}) + \frac{\partial R_{M}}{\partial u} \Delta u + \frac{\partial R_{M}}{\partial q} \Delta q + h.o.t = 0$  $R_{E}(\overline{u},\overline{q}) = R_{E}(u_{0},q_{0}) + \frac{\partial R_{E}}{\partial u} \Delta u + \frac{\partial R_{E}}{\partial a} \Delta q + h.o.t = 0$ 

### **Full-Newton Technique**

Neglecting h.o.t  $\frac{\partial R_M}{\partial u} \Delta u + \frac{\partial R_M}{\partial q} \Delta q = -R_M(u_0, q_0)$   $\frac{\partial R_E}{\partial u} \Delta u + \frac{\partial R_E}{\partial q} \Delta q = -R_E(u_0, q_0)$ 

### In matrix form

$$\begin{bmatrix} \frac{\partial R_{M}}{\partial u} & \frac{\partial R_{M}}{\partial q} \\ \frac{\partial R_{E}}{\partial u} & \frac{\partial R_{E}}{\partial q} \end{bmatrix} \begin{pmatrix} \Delta u \\ \Delta q \end{pmatrix} = - \begin{cases} R_{M}(u_{0}, q_{0}) \\ R_{E}(u_{0}, q_{0}) \end{cases}$$

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### **Full Newton Algorithm**



# **Full Newton Algorithm**

$$\frac{\partial R_{M}}{\partial u} \rightarrow \frac{\partial f^{\text{int}}(u)}{\partial u} \rightarrow \text{ entirely elastostatic part}$$

$$\frac{\partial R_{E}}{\partial q} \rightarrow \frac{\partial (Pq - V)}{\partial q} = P \rightarrow \text{ entirely electrostatic part}$$

$$\frac{\partial R_{M}}{\partial q} \rightarrow \frac{\partial f^{\text{ext}}(q)}{\partial q} \rightarrow \text{ electrical to mechanical coupling term}$$

$$\frac{\partial R_{E}}{\partial u} \rightarrow \frac{\partial (Pq - V)}{\partial u} = \frac{\partial P(u)}{\partial u} q \rightarrow \text{ mechanical to electrical coupling}$$

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# **Microfluidics: Gas Flows**

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# **Introduction to Microfilters**

#### **Microfilter properties:**

Openings of various shapes
Thickness between 1 and 5µm
Opening size as small as 2nm
High burst pressure achieved

#### **Design issues:**

- **Flow profiles**
- **Estimation of flow rate**
- **Dependence of flow rate on:** 
  - **geometry**
  - surface properties
  - **pressure difference**



#### **Rarefaction effects observed due to small** <u>dimensions</u>

# **Characteristics of Flows in Micro-Channels**

- **Typical Characteristics:**
- Compressible
- High Kn #
- Small Re #
- Small Ma #
- Wide range of Kn #
- Reacting

### **Effects of high Knudsen Number:**

- Slip velocity
- Thermal jump
- Strong interaction with walls

# **DSMC Flow Chart**



### **Micro-Filter Elements**

	1x1	1x5	0.2x1	1x10	0.05x1	0.2x2
h <sub>c</sub> (μm)	- 1	1	0.2	1	0.05	0.2
l <sub>c</sub> (μm)	1	5	1	10	1	2
h <sub>p</sub> (μm)	5	5	1	5	1	1
l <sub>in</sub> (μm)	4	6	4	4	4	4
l <sub>out</sub> (μm)	7	7	5	7	7	5
Kn	0.054	0.054	0.27	0.054	1.1	0.27

**Computational MEMS/NEMS** 

lin

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lc

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lout

1µmX1µm Filter Element



# **Knudsen Number and Length Effects**

140

120

100

80

60

40

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(m/s)



Effect of Kn:

Slip velocity increases
with Kn

Description of the second s

1x1 µm filter

1x5 µm filter

(a) y=hc/2

1x10 µm filter

**Effect of Surface Accommodation** 



Smaller accomodation coefficients:
Strong increase in slip velocity
Temperature drop increases

# **Flow Rate vs. Pressure Difference**



Dependence of flow rate on pressure is linear
Qualitative behavior is captured by 2D channel formula + 1<sup>st</sup> order slip BC (Arkilic & Breuer, 1997)
Good agreement for large lc/hc
Effective length can be used

for smaller lc/hc

# Conclusions

- MEMS design is still an art
- Critical issues
  - Mixed-domain simulation tools
  - Multiscale approaches
  - System level modeling tools
- Need fast and radically simpler techniques for MEMS modeling