#### Demonstrating temperature transferability of neural network models replacing modern density functional theory 35th Electronic Structure Workshop 14.06. // Lenz Fiedler, Attila Cangi



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• Large scale simulations at ambient conditions and towards the warm dense matter regime (temperatures of  $10^4 - 10^8$ K)



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- Large scale simulations at ambient conditions and towards the warm dense matter regime (temperatures of  $10^4 10^8$ K)
- Problem: Finite-temperature DFT scaling properties (Number of particles N, temperature  $\tau$ )



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- Large scale simulations at ambient conditions and towards the warm dense matter regime (temperatures of  $10^4 10^8$ K)
- Problem: Finite-temperature DFT scaling properties (Number of particles N, temperature  $\tau$ )
- Possible solution: models that directly learn electronic structure





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• Electronic structure for  $N_i$  ions at <u>**R**</u> and  $N_e$  electrons at <u>**r**</u> accessed via Finite-Temperature DFT



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- Electronic structure for  $N_i$  ions at  $\underline{R}$  and  $N_e$  electrons at  $\underline{r}$  accessed via Finite-Temperature DFT
- Electronic density *n*(*r*) as central quantity



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 $F[n] = E[n] - k_{\mathsf{B}}\tau_{\mathsf{e}}S_{\mathsf{s}}[n]$ 



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$$F[n] = E[n] - k_{\rm B} \tau_{\rm e} S_{\rm s}[n]$$
$$E[n] =$$



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$$F[n] = E[n] - k_{\rm B}\tau_{\rm e}S_{\rm s}[n]$$
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$$F[n] = E[n] - k_{\rm B}\tau_{\rm e}S_{\rm s}[n]$$
$$E[n] = T_{\rm s}[n] + E_{\rm H}[n]$$

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 $F[n] = E[n] - k_{\mathsf{B}}\tau_{\mathsf{e}}S_{\mathsf{s}}[n]$  $E[n] = T_{\mathsf{s}}[n] + E_{\mathsf{H}}[n] + E_{\mathsf{e}\mathsf{i}}[n](\underline{\mathbf{R}})$ 



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• T<sub>s</sub> and S<sub>s</sub> are calculated via non-interacting auxiliary Kohn-Sham system

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$$\left[-\frac{1}{2}\Delta + v_{\rm KS}(\boldsymbol{r})[\boldsymbol{R}]\right]\phi_j(\boldsymbol{r}) = \epsilon_j\phi_j(\boldsymbol{r})$$

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$$\begin{bmatrix} -\frac{1}{2}\Delta + v_{\text{KS}}(\boldsymbol{r})[\boldsymbol{R}] \end{bmatrix} \phi_j(\boldsymbol{r}) = \epsilon_j \phi_j(\boldsymbol{r})$$
$$n(\boldsymbol{r}) = \sum_{j=1}^{N'_e} f^{\tau_e}(\epsilon_j) \phi_j(\boldsymbol{r})^* \phi_j(\boldsymbol{r})$$



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• Temperature enters framework differently for  $\tau_i$  and  $\tau_e$ 





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- Temperature enters framework differently for  $\tau_i$  and  $\tau_e$
- $\tau_1$  governs **<u>R</u>** and thus electron-ion interaction





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 $F[n] = T_{s}[n[\underline{R}, \tau_{e}]]$ 



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 $F[n] = T_{\rm S}[n[\underline{R}, \tau_{\rm e}]] + E_{\rm H}[n[\underline{R}, \tau_{\rm e}]]$ 



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 $F[n] = T_{s}[n[\underline{R}, \tau_{e}]] + E_{H}[n[\underline{R}, \tau_{e}]] + E_{ei}[n[\underline{R}, \tau_{e}]](\underline{R}) + E_{xc}[n[\underline{R}, \tau_{e}]] + E_{ii}(\underline{R}) - k_{B}\tau_{e}S_{s}[n[\underline{R}, \tau_{e}]]$ 



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•  $\tau_{\rm e}$  further determines  $\eta = {\it N}_{\rm e}' - {\it N}_{\rm e}$ 



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 $F[n] = T_{s}[n[\underline{R}, \tau_{e}]] + E_{H}[n[\underline{R}, \tau_{e}]] + E_{ei}[n[\underline{R}, \tau_{e}]](\underline{R}) + E_{xc}[n[\underline{R}, \tau_{e}]] + E_{ii}(\underline{R}) - k_{B}\tau_{e}S_{s}[n[\underline{R}, \tau_{e}]]$ 

•  $\tau_{\rm e}$  further determines  $\eta = {\it N}_{\rm e}' - {\it N}_{\rm e}$ 

$$n(\mathbf{r}) = \sum_{j=1}^{N_{\mathrm{e}}^{\prime - \mathrm{e}}} f^{\tau_{\mathrm{e}}}(\epsilon_j) \phi_j(\mathbf{r})^* \phi_j(\mathbf{r})$$



• Both  $\tau_{\rm i}$  and  $\tau_{\rm e}$  are included in DFT



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- Both  $\tau_i$  and  $\tau_e$  are included in DFT
- Unfavorable scaling with temperature  $\rightarrow$  machine learning models for total free energy



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• Models usually do not take  $\tau_e$  into account



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• Direct inclusion via prediction of local density of states  $d(\epsilon, \mathbf{r})$  (LDOS)



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• Direct inclusion via prediction of local density of states  $d(\epsilon, \mathbf{r})$  (LDOS)



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• Direct inclusion via prediction of local density of states  $d(\epsilon, \mathbf{r})$  (LDOS)



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## **Background: MALA models**





J.A. Ellis et al., Phys. Rev. B (2021, 10.1103/PhysRevB.104.035120)

L. Fiedler *et al.*, Mach. Learn.: Sci. Technol. (2022, 10.1088/2632-2153/ac9956)



Investigations for solid aluminium up to the melting point





- Investigations for solid aluminium up to the melting point
- Constant  $\tau_e = 100 K$





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• Constant  $\tau_i = 100 K$ 

Demonstrating temperature transferability of neural network models replacing modern DFT Lenz Fiedler, Attila Cangi | 13



- Constant  $\tau_i = 100 K$
- Regular ML models incapable of predicting energy dependence on temperature





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- LDOS based equations in principle achieve this





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- LDOS based equations in principle achieve this





• This is due to the DOS only slightly changing with  $\tau_{\rm e}$ 





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• Aluminium between 100K and 933K, 5 model initializations per attempt, training on one temperature



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• Aluminium between 100K and 933K, 5 model initializations per attempt, training on one temperature





- Aluminium between 100K and 933K, 5 model initializations per attempt, training on one temperature
- Models in principle capable of temperature transfer, but multiple data points necessary





• Aluminium between 100K and 933K, 5 model initializations per attempt, training on multiple temperatures





• Aluminium between 100K and 933K, 5 model initializations per attempt, training on multiple temperatures



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- Aluminium between 100K and 933K, 5 model initializations per attempt, training on multiple temperatures
- Region of higher errors around room temperature





• Aluminium between 100K and 933K, 5 model initializations per attempt, training on 4 temperatures







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• Extrapolation with models in the  $\tau_e$  domain becomes possible





• Extrapolation with models in the  $\tau_e$  domain becomes possible



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- Extrapolation with models in the  $\tau_e$  domain becomes possible
- E.g. for laser heated electrons



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Check MALA out on GitHub: https://github.com/mala-project



# Thank you for your attention!

Further Reading: L. Fiedler, N.A. Modine, K.D. Miller, A. Cangi: Machine learning the electronic structure of matter across temperatures, 10.48550/arXiv.2306.06032

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Parameter	Aluminium
Number of atoms	256
PW cutoff	100 Ry
<b>k</b> -grid	$8 \times 8 \times 8$
XC functional	PBEsol
Pseudopotential	Scalar-relativistic, optimized norm-conserving Vanderbilt
Temperatures	100K, 200K, 298K, 400K, 500K, 600K, 700K, 800K, 933K



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Parameter	2 temperatures	3 temperatures	4 temperatures
Network size Learning rate Activation function Optimizer Training data set	91 × 4 4 × 100 <i>K</i> 4 × 933 <i>K</i>	$4000 \times 4000 \times 4000$ 0.00005 LeakyReLU Adam $4 \times 100K$ $4 \times 500K$ $4 \times 933K$	4 × 100 <i>K</i> 4 × 298 <i>K</i> 4 × 500 <i>K</i> 4 × 933 <i>K</i>



 Analysis via cosine similarity S<sub>C</sub> reveals larger structural differences at smaller temperatures



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